

# Slides Chapter 1-7 Dickhoff-Van Neck

- Preliminary material covered in slides of Chs. 1-5 assumed more or less familiar
- Green's function formulation of single-particle problem in Ch.6 slides useful preparation for general formulation
- Single-particle propagator in many-fermion system introduced in Ch.7 slides

# Symmetric and antisymmetric states

When is quantum physics expected?

Consider the energy levels for a particle of mass  $m$  enclosed in a box with volume  $V = L^3$

$$\varepsilon_{n_x, n_y, n_z} = \frac{h^2}{8mL^2} (n_x^2 + n_y^2 + n_z^2) \quad \text{positive integers}$$

Total number of states below energy  $E$

$$\Omega(E) = \frac{\pi}{6} \left( \frac{8mL^2 E}{h^2} \right)^{3/2} = \frac{\pi}{6} \left( \frac{8mE}{h^2} \right)^{3/2} V$$

"Quantumness" --> indistinguishability not important when

$$1 \gg Q \equiv \frac{N}{\Omega} = \frac{6}{\pi} \rho \left( \frac{h^2}{12mk_B T} \right)^{3/2}$$

Use  $E = \frac{3}{2} k_B T$

# Q

System	$T$ (K)	Density ( $\text{m}^{-3}$ )	Mass (u)	$Q$
He (l)	4.2	$1.9 \times 10^{28}$	4.0	1.1
He (g)	4.2	$2.5 \times 10^{27}$	4.0	$1.4 \times 10^{-1}$
He (g)	273	$2.7 \times 10^{25}$	4.0	$2.9 \times 10^{-6}$
Ne (l)	27.1	$3.6 \times 10^{28}$	20.2	$1.1 \times 10^{-2}$
Ne (g)	273	$2.7 \times 10^{25}$	20.2	$2.5 \times 10^{-7}$
$e^-$ Na metal	273	$2.5 \times 10^{28}$	$5.5 \times 10^{-4}$	$1.7 \times 10^3$
$e^-$ Al metal	273	$1.8 \times 10^{29}$	$5.5 \times 10^{-4}$	$1.2 \times 10^4$
$e^-$ white dwarfs	$10^7$	$10^{36}$	$5.5 \times 10^{-4}$	$8.5 \times 10^3$
p,n nuclear matter	$10^{10}$	$1.7 \times 10^{44}$	1.0	$6.5 \times 10^2$
n neutron star	$10^8$	$4.0 \times 10^{44}$	1.0	$1.5 \times 10^6$
$^{87}\text{Rb}$ condensate	$10^{-7}$	$10^{19}$	87	1.5

# Bosons and Fermions

- Use experimental observations to conclude about consequences of identical particles
- Two possibilities
  - antisymmetric states  $\Rightarrow$  **fermions** half-integer spin
    - Pauli from properties of electrons in atoms
  - symmetric states  $\Rightarrow$  **bosons** integer spin
    - Considerations related to electromagnetic radiation (photons)
- Can also consider quantization of “field” equations
  - e.g. quantize “free” Maxwell equations (see standard textbooks)

# Wolfgang Pauli (1900-1958)

- The Nobel Prize in Physics 1945 was awarded to Wolfgang Pauli "for the discovery of the Exclusion Principle, also called the Pauli Principle".




- paper Zeitschr. f. Phys. 31, 765 (1925)

## Review single-particle states

- Notation  $|\dots\rangle$
- ... list of quantum numbers associated with a CSCO
- Normalization  $\langle\alpha|\beta\rangle = \delta_{\alpha,\beta}$
- Continuous quantum numbers
  - Example  $\langle\mathbf{r}, m_s|\mathbf{r}', m'_s\rangle = \delta(\mathbf{r} - \mathbf{r}')\delta_{m_s, m'_s}$
- Completeness  $\sum_{\alpha} |\alpha\rangle \langle\alpha| = 1$

## Consequences for two-particle states

- CVS for two particles: product space
- Notation  $|\alpha_1\alpha_2\rangle = |\alpha_1\rangle |\alpha_2\rangle$  
- Orthogonality  $\langle\alpha_1\alpha_2|\alpha'_1\alpha'_2\rangle = \delta_{\alpha_1,\alpha'_1}\delta_{\alpha_2,\alpha'_2}$
- Completeness  $\sum_{\alpha_1\alpha_2} |\alpha_1\alpha_2\rangle \langle\alpha_1\alpha_2| = 1$

## Exchange degeneracy

- Consider  $\alpha_1 \neq \alpha_2$
- Then  $|\alpha_2\alpha_1\rangle \neq |\alpha_1\alpha_2\rangle$
- All states  $|\alpha_1\alpha_2\rangle$   
 $|\alpha_2\alpha_1\rangle$   
 $c_1|\alpha_1\alpha_2\rangle + c_2|\alpha_2\alpha_1\rangle$

yield  $\alpha_1$  for one particle and  $\alpha_2$  for the other upon measurement

- Yet, unclear which state describes this system and therefore **inconsistent** with quantum postulates
- Consider permutation operator

$$P_{12}|\alpha_1\alpha_2\rangle = |\alpha_2\alpha_1\rangle$$

with  $P_{12} = P_{21}$  and  $P_{12}^2 = 1$

- Hamiltonian for two particles is symmetric for  $1 \Leftrightarrow 2$

# Development

- Typical Hamiltonian  $H = \frac{p_1^2}{2m} + \frac{p_2^2}{2m} + V(|\mathbf{r}_1 - \mathbf{r}_2|)$
- Consider operator acting on particle 1 and corresponding eigenvalue  $A_1|\alpha_1\alpha_2\rangle = a_1|\alpha_1\alpha_2\rangle$
- Similarly, the same operator acting on particle 2 yields  $A_2|\alpha_1\alpha_2\rangle = a_2|\alpha_1\alpha_2\rangle$
- Note  $P_{12}A_1|\alpha_1\alpha_2\rangle = a_1P_{12}|\alpha_1\alpha_2\rangle = a_1|\alpha_2\alpha_1\rangle = A_2|\alpha_2\alpha_1\rangle$
- and  $P_{12}A_1|\alpha_1\alpha_2\rangle = P_{12}A_1P_{12}^{-1}P_{12}|\alpha_1\alpha_2\rangle = P_{12}A_1P_{12}^{-1}|\alpha_2\alpha_1\rangle$
- Holds for any state; therefore  $P_{12}A_1P_{12}^{-1} = A_2$
- It follows that  $P_{12}HP_{12}^{-1} = H$  or  $[P_{12}, H] = 0$



# Symmetric and antisymmetric two-particle states

- So  $[P_{12}, H] = 0$

- Common eigenkets either

$$|\alpha_1\alpha_2\rangle_+ = \frac{1}{\sqrt{2}} \{ |\alpha_1\alpha_2\rangle + |\alpha_2\alpha_1\rangle \}$$

or

$$|\alpha_1\alpha_2\rangle_- = \frac{1}{\sqrt{2}} \{ |\alpha_1\alpha_2\rangle - |\alpha_2\alpha_1\rangle \}$$

- Eigenstates of the Hamiltonian either symmetric  $\Rightarrow$  **bosons**

or antisymmetric  $\Rightarrow$  **fermions**

- **Two-boson state**  $|\alpha_1\alpha_2\rangle_S = \left[ \frac{1}{2n_\alpha!n_{\alpha'}!\dots} \right]^{1/2} \{ |\alpha_1\alpha_2\rangle + |\alpha_2\alpha_1\rangle \}$

$$\alpha_1 = \alpha_2 = \alpha \Rightarrow |n_\alpha = 2\rangle = |\alpha\alpha\rangle_S = |\alpha\rangle |\alpha\rangle$$

$$\alpha_1 \neq \alpha_2 \Rightarrow |\alpha_1\alpha_2\rangle_S = \frac{1}{\sqrt{2}} \{ |\alpha_1\alpha_2\rangle + |\alpha_2\alpha_1\rangle \}$$

# Fermions

- Antisymmetry:  $|a_2 a_1\rangle = -|a_1 a_2\rangle$
- Both kets represent the same physical state: count only once in completeness relation  $\Rightarrow$  "order" quantum numbers  
 $|1\rangle, |2\rangle, |3\rangle, \dots$

- Ordered: 
$$\sum_{i < j} |ij\rangle \langle ij| = 1$$

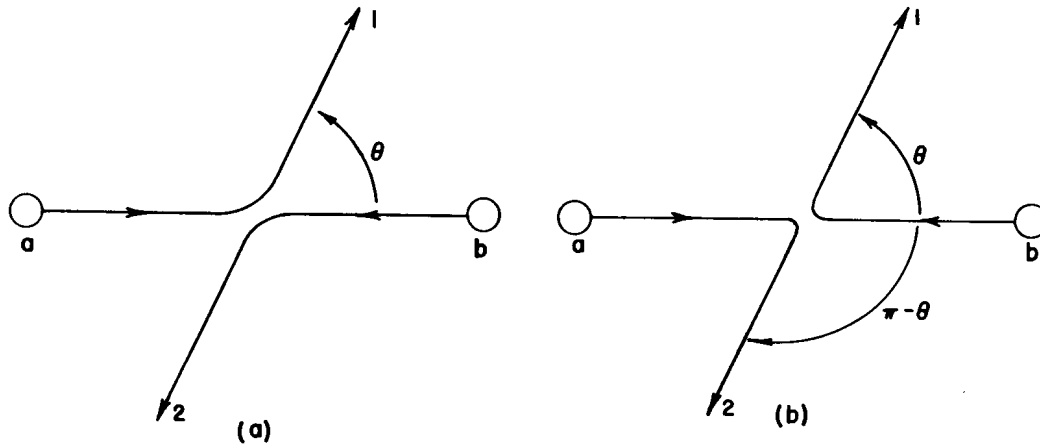
- Not ordered: 
$$\frac{1}{2!} \sum_{ij} |ij\rangle \langle ij| = 1$$

Bosons ordered: 
$$\sum_{i \leq j} |ij\rangle \langle ij| = 1$$

not ordered: 
$$\sum_{ij} \frac{n_1! n_2! \dots}{2!} |ij\rangle \langle ij| = 1$$

# Scattering of identical particles

Particles that can be "distinguished"



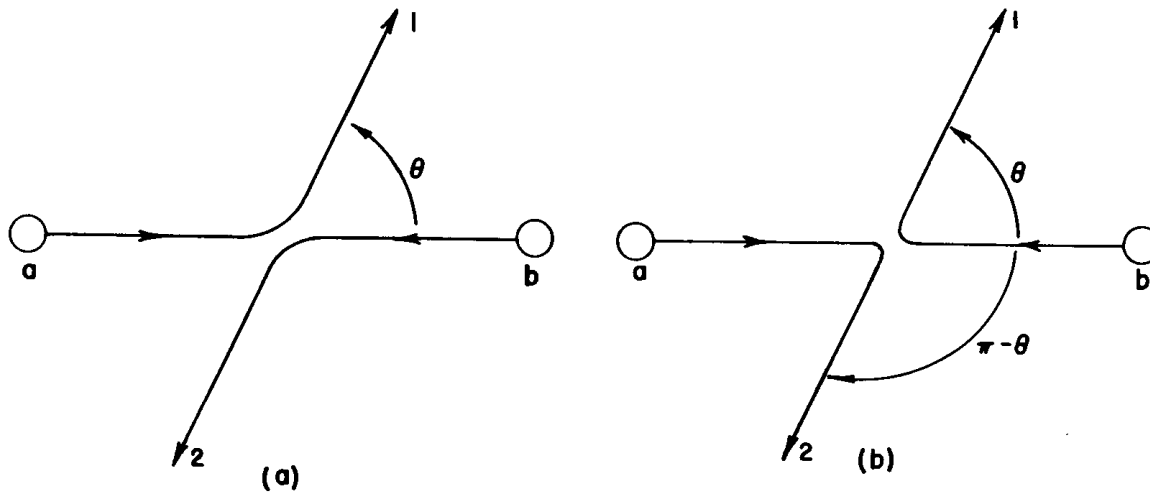
particle a in D1 (a)  $\frac{d\sigma}{d\Omega}(a \text{ in } D_1, b \text{ in } D_2) = |f(\theta)|^2$

particle a in D2 (b)  $\frac{d\sigma}{d\Omega}(a \text{ in } D_2, b \text{ in } D_1) = |f(\pi - \theta)|^2$

any particle in D1  $\frac{d\sigma}{d\Omega}(\text{particle in } D_1) = |f(\theta)|^2 + |f(\pi - \theta)|^2$

# Identical bosons

- Cannot distinguish (a) and (b)



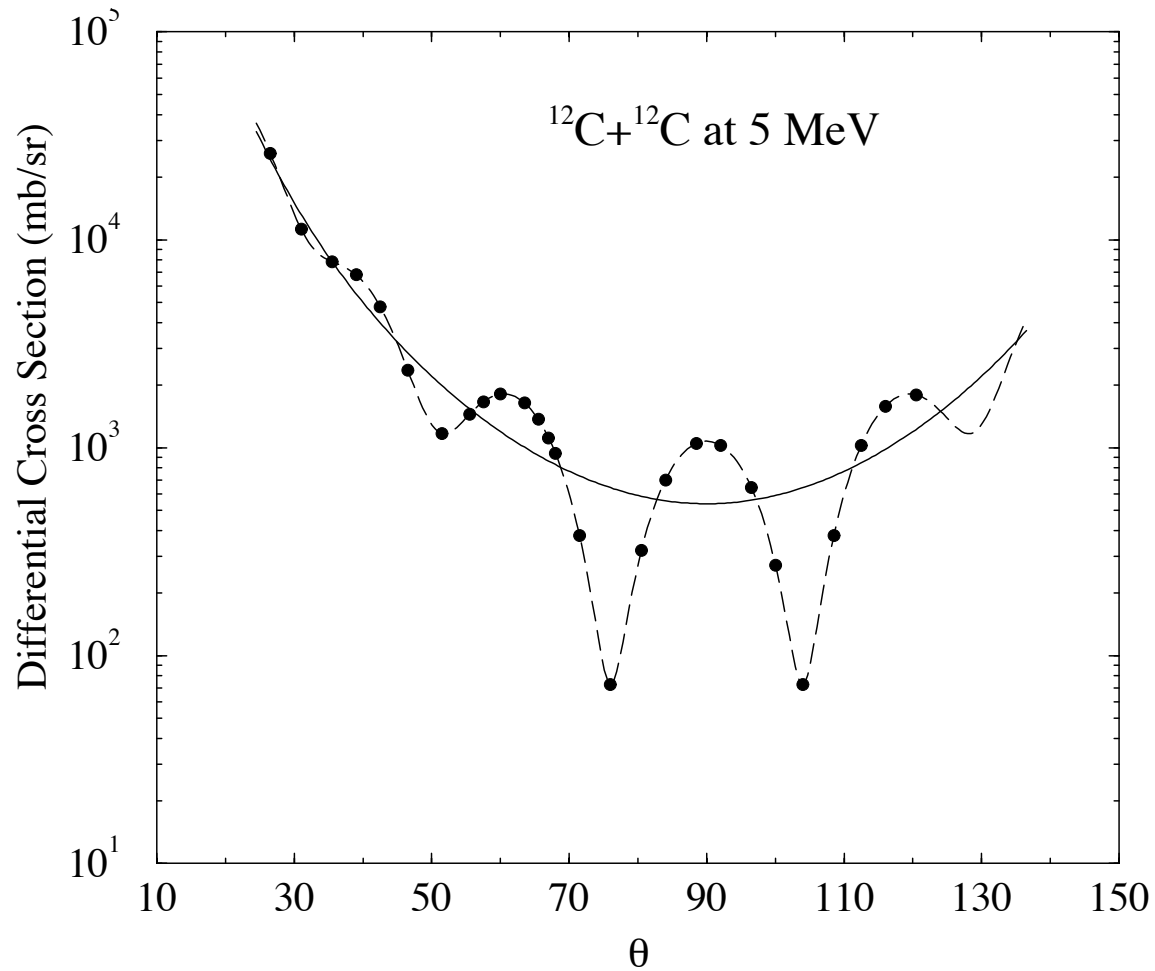
- Rule for bosons: add amplitudes then square!

$$\frac{d\sigma}{d\Omega}(\text{bosons}) = |f(\theta) + f(\pi - \theta)|^2$$

- Interference
- 90 degrees: factor of 2 compared to "classical" cross section



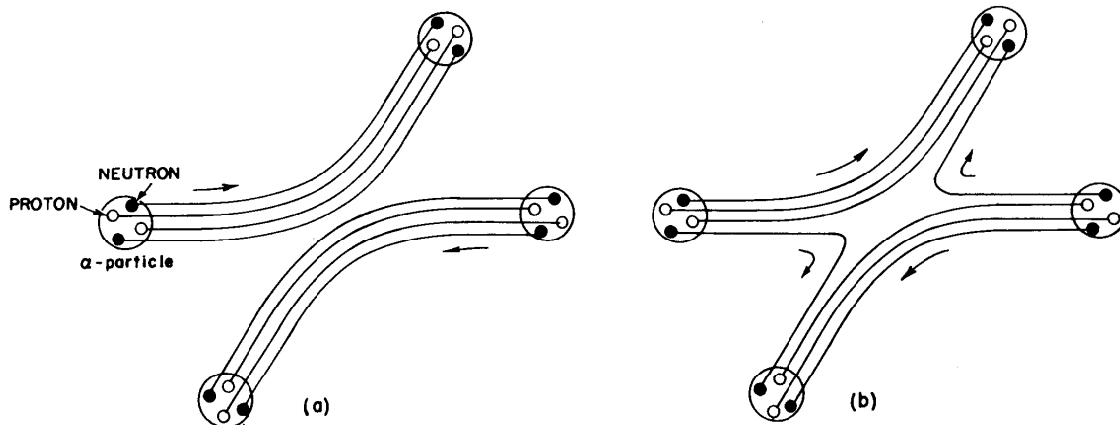
## Low-energy boson-boson scattering



Phys. Rev. **123**, 878 (1961)

# $^{12}\text{C}$ a boson?

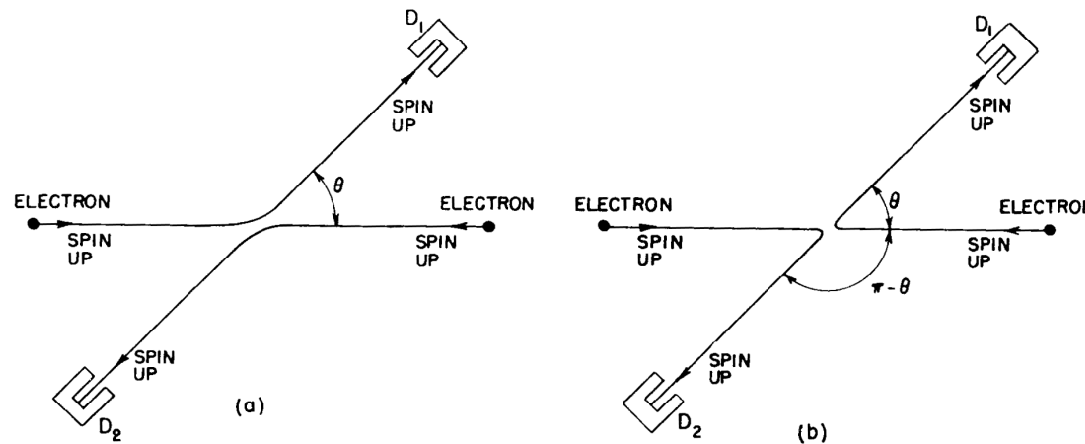
- 6 protons and 6 neutrons
- total angular momentum integer (made of 12 spin- $\frac{1}{2}$  particles)
- ground state  $0^+$
- first excited state above 4 MeV
- $^4\text{He}$  atom:  $2p + 2n + 2e \Rightarrow$  **boson**
- $^3\text{He}$  atom:  $2p + 1n + 2e \Rightarrow$  **fermion**
- but



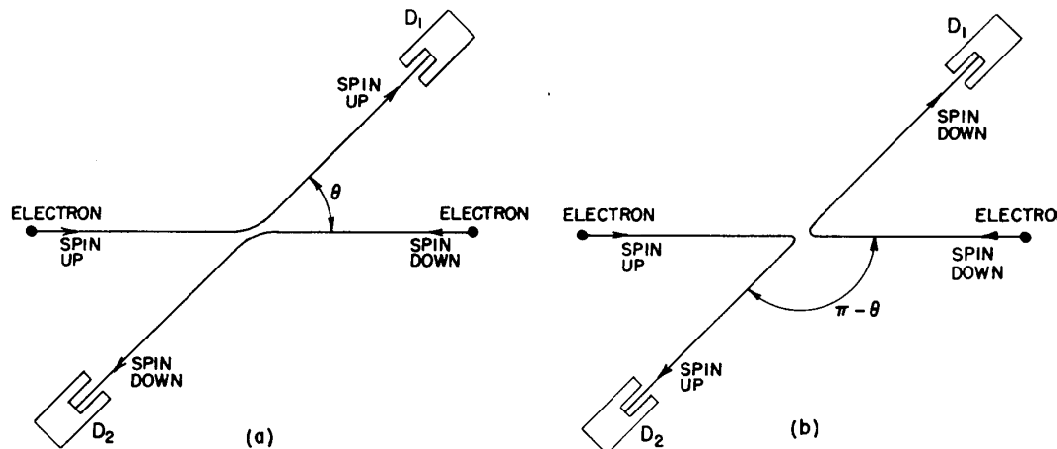
# Fermion-fermion scattering

- Identical fermions: electrons with spin up

$$\frac{d\sigma}{d\Omega}(\text{fermions}) = |f(\theta) - f(\pi - \theta)|^2$$



- What about



# N-particle states (fermions)

- Product states  $|\alpha_1\alpha_2\dots\alpha_N\rangle = |\alpha_1\rangle |\alpha_2\rangle \dots |\alpha_N\rangle$
- Normalization
$$\begin{aligned} \langle\alpha_1\alpha_2\dots\alpha_N|\alpha'_1\alpha'_2\dots\alpha'_N\rangle &= \langle\alpha_1|\alpha'_1\rangle\langle\alpha_2|\alpha'_2\rangle\dots\langle\alpha_N|\alpha'_N\rangle \\ &= \delta_{\alpha_1,\alpha'_1}\delta_{\alpha_2,\alpha'_2}\dots\delta_{\alpha_N,\alpha'_N} \end{aligned}$$
- Completeness  $\sum_{\alpha_1\alpha_2\dots\alpha_N} |\alpha_1\alpha_2\dots\alpha_N\rangle\langle\alpha_1\alpha_2\dots\alpha_N| = 1$
- Identical particles: symmetric or antisymmetric states
- Fermions: use antisymmetrizer  $\mathcal{A} = \frac{1}{N!} \sum_p (-1)^p P$
- Permutation operator: product of two-particle permutations
- # of two-particle permutations odd/even  $\Rightarrow$  **sign**



## Example for 3 particles

- Check odd/even permutation

$$\begin{aligned} |\alpha_1\alpha_2\alpha_3\rangle &= \frac{1}{\sqrt{6}} \{ |\alpha_1\alpha_2\alpha_3\rangle - |\alpha_2\alpha_1\alpha_3\rangle + |\alpha_2\alpha_3\alpha_1\rangle \\ &\quad - |\alpha_3\alpha_2\alpha_1\rangle + |\alpha_3\alpha_1\alpha_2\rangle - |\alpha_1\alpha_3\alpha_2\rangle \}. \end{aligned}$$

- Note normalization (6 states)
- Also note antisymmetry  $|\alpha_1\alpha_2\alpha_3\rangle = -|\alpha_2\alpha_1\alpha_3\rangle$
- No two fermions can occupy the same state!!
- Example for three bosons (symmetric state) [Check!]

$$\begin{aligned} |\alpha_1\alpha_1\alpha_2\rangle &= \frac{1}{\sqrt{3!2!}} \{ |\alpha_1\alpha_1\alpha_2\rangle + |\alpha_1\alpha_1\alpha_2\rangle + |\alpha_1\alpha_2\alpha_1\rangle \\ &\quad + |\alpha_2\alpha_1\alpha_1\rangle + |\alpha_2\alpha_1\alpha_1\rangle + |\alpha_1\alpha_2\alpha_1\rangle \} \\ &= \frac{1}{\sqrt{3}} \{ |\alpha_1\alpha_1\alpha_2\rangle + |\alpha_1\alpha_2\alpha_1\rangle + |\alpha_2\alpha_1\alpha_1\rangle \}. \end{aligned}$$

# N fermions

- Completeness with ordered single-particle (sp) quantum numbers

$$\sum_{\text{ordered } \alpha_1 \alpha_2 \dots \alpha_N} |\alpha_1 \alpha_2 \dots \alpha_N\rangle \langle \alpha_1 \alpha_2 \dots \alpha_N| = 1$$

- Not ordered

$$\frac{1}{N!} \sum_{\alpha_1 \alpha_2 \dots \alpha_N} |\alpha_1 \alpha_2 \dots \alpha_N\rangle \langle \alpha_1 \alpha_2 \dots \alpha_N| = 1$$

- Normalization with ordered single-particle (sp) quantum numbers

$$\langle \alpha_1 \alpha_2 \dots \alpha_N | \alpha'_1 \alpha'_2 \dots \alpha'_N \rangle = \langle \alpha_1 | \alpha'_1 \rangle \langle \alpha_2 | \alpha'_2 \rangle \dots \langle \alpha_N | \alpha'_N \rangle$$

- Not ordered  $\Rightarrow$  determinant  $= \delta_{\alpha_1, \alpha'_1} \delta_{\alpha_2, \alpha'_2} \dots \delta_{\alpha_N, \alpha'_N}$

$$\langle \alpha_1 \alpha_2 \dots \alpha_N | \alpha'_1 \alpha'_2 \dots \alpha'_N \rangle = \begin{vmatrix} \langle \alpha_1 | \alpha'_1 \rangle & \langle \alpha_1 | \alpha'_2 \rangle & \dots & \langle \alpha_1 | \alpha'_N \rangle \\ \langle \alpha_2 | \alpha'_1 \rangle & \langle \alpha_2 | \alpha'_2 \rangle & \dots & \langle \alpha_2 | \alpha'_N \rangle \\ \vdots & \vdots & \ddots & \vdots \\ \langle \alpha_N | \alpha'_1 \rangle & \langle \alpha_N | \alpha'_2 \rangle & \dots & \langle \alpha_N | \alpha'_N \rangle \end{vmatrix}.$$

# Normalized N-particle wave function

- Called a Slater determinant

$$\psi_{\alpha_1 \alpha_2 \dots \alpha_N}(x_1 x_2 \dots x_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \langle x_1 | \alpha_1 \rangle & \dots & \langle x_N | \alpha_1 \rangle \\ \langle x_1 | \alpha_2 \rangle & \dots & \langle x_N | \alpha_2 \rangle \\ \vdots & \ddots & \vdots \\ \langle x_1 | \alpha_N \rangle & \dots & \langle x_N | \alpha_N \rangle \end{vmatrix}.$$

- Hard to work with Slater determinants
- Use occupation number representation or **second quantization**