

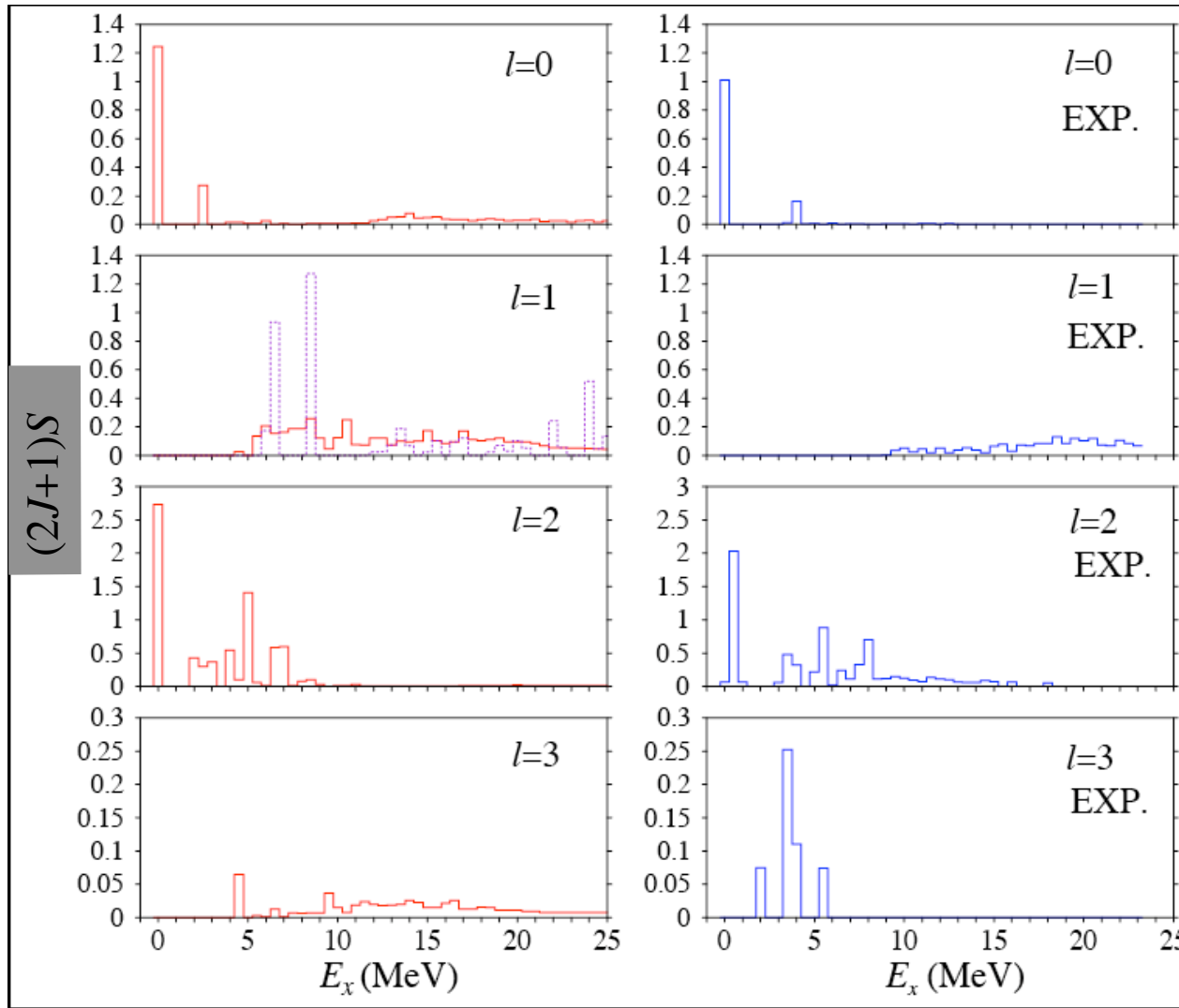
NSCL 7/20/05

Spectroscopic factors and the physics of the single-particle strength distribution in nuclei

- Lecture 1: 7/18/05 Propagator description of single-particle motion and the link with experimental data
- Lecture 2: 7/19/05 From diagrams to Hartree-Fock and spectroscopic factors < 1
- Lecture 3: 7/20/05 Influence of long-range correlations and the relation to excited states
- Lecture 4: 7/21/05 Role of short-range and tensor correlations associated with realistic interactions. Prospects for nuclei with N very different from Z .
- Lecture 5: 7/22/05 Saturation problem of nuclear matter

Wim Dickhoff
Washington University in St. Louis

Self-consistent 2nd order calculation with Skyrme force

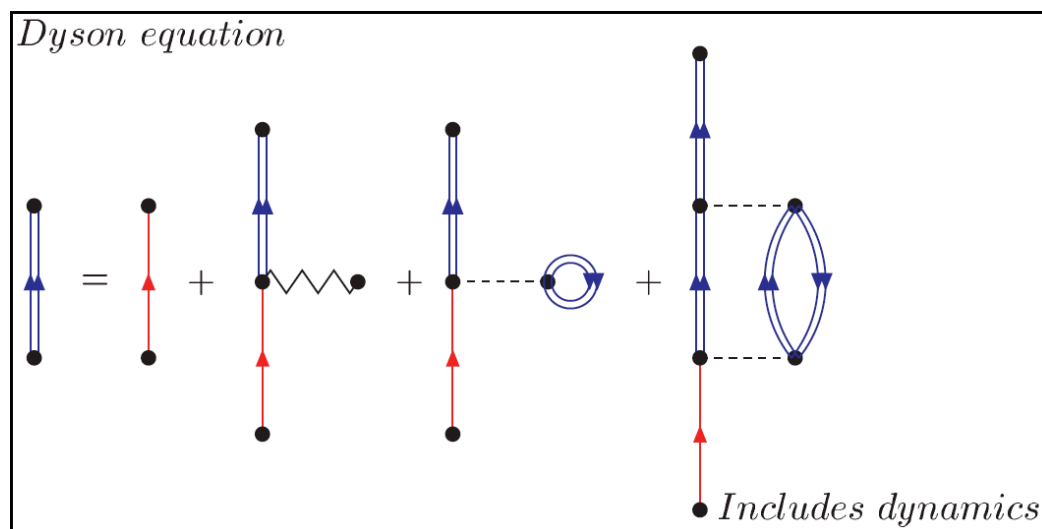


Data: $^{48}\text{Ca}(e,e'p)$
Kramer NIKHEF
(1990)

Qualitatively OK
No relation with
realistic V yet!

Van Neck *et al.* NPA**530**,347(1991)

Self-consistent Green's functions and the energy of the ground state of **atoms**



Dyson(2)

Van Neck, Peirs, Waroquier
J. Chem. Phys. **115**, 15 (2001)
Dahlen & von Barth
J. Chem. Phys. **120**, 6826 (2004)

Atoms : total ground state energies (a.u.)

| <u>Method</u> | He | Be | Ne | Mg | Ar |
|---------------|--------|---------|----------|----------|----------|
| DFT | -2.913 | -14.671 | -128.951 | -200.093 | -527.553 |
| HF | -2.862 | -14.573 | -128.549 | -199.617 | -526.826 |
| CI | -2.891 | -14.617 | -128.733 | -199.635 | -526.807 |
| Dyson(2) | -2.899 | -14.647 | -128.939 | -200.027 | -527.511 |
| Exp. | -2.904 | -14.667 | -128.928 | -200.043 | -527.549 |

Outline

- Self-energy using “ G -matrix” in second order
- Qualitative features; missing ingredients!
- Excited states and $G \Leftrightarrow G$ and excited states
- Conserving approximations; HF \Leftrightarrow RPA *e.g.*
- E(xtended) RPA & results (Giant Resonances)
- Collective excitations in the self-energy
- Influence of “long-range” correlations
- Recent developments (Faddeev summation)
- Why does $(e,e'p)$ yield “absolute” spectroscopic factors

How to proceed from a realistic V ?

Must take effects of short-range and tensor correlations into account. Well known procedure: from V to “ G ”-matrix.

$$\langle \alpha\beta | G(E) | \gamma\delta \rangle = \langle \alpha\beta | V | \gamma\delta \rangle + \frac{1}{2} \sum_{\sigma\tau} \langle \alpha\beta | V | \sigma\tau \rangle \frac{\theta(\sigma - M)\theta(\tau - M)}{E - \varepsilon_\sigma - \varepsilon_\tau} \langle \sigma\tau | G(E) | \gamma\delta \rangle$$

Well-behaved; takes excitations outside configuration space M into account. Used inside $M \Rightarrow$ therefore this procedure doesn't yet completely include the effect of short-range and tensor correlations on sp motion.

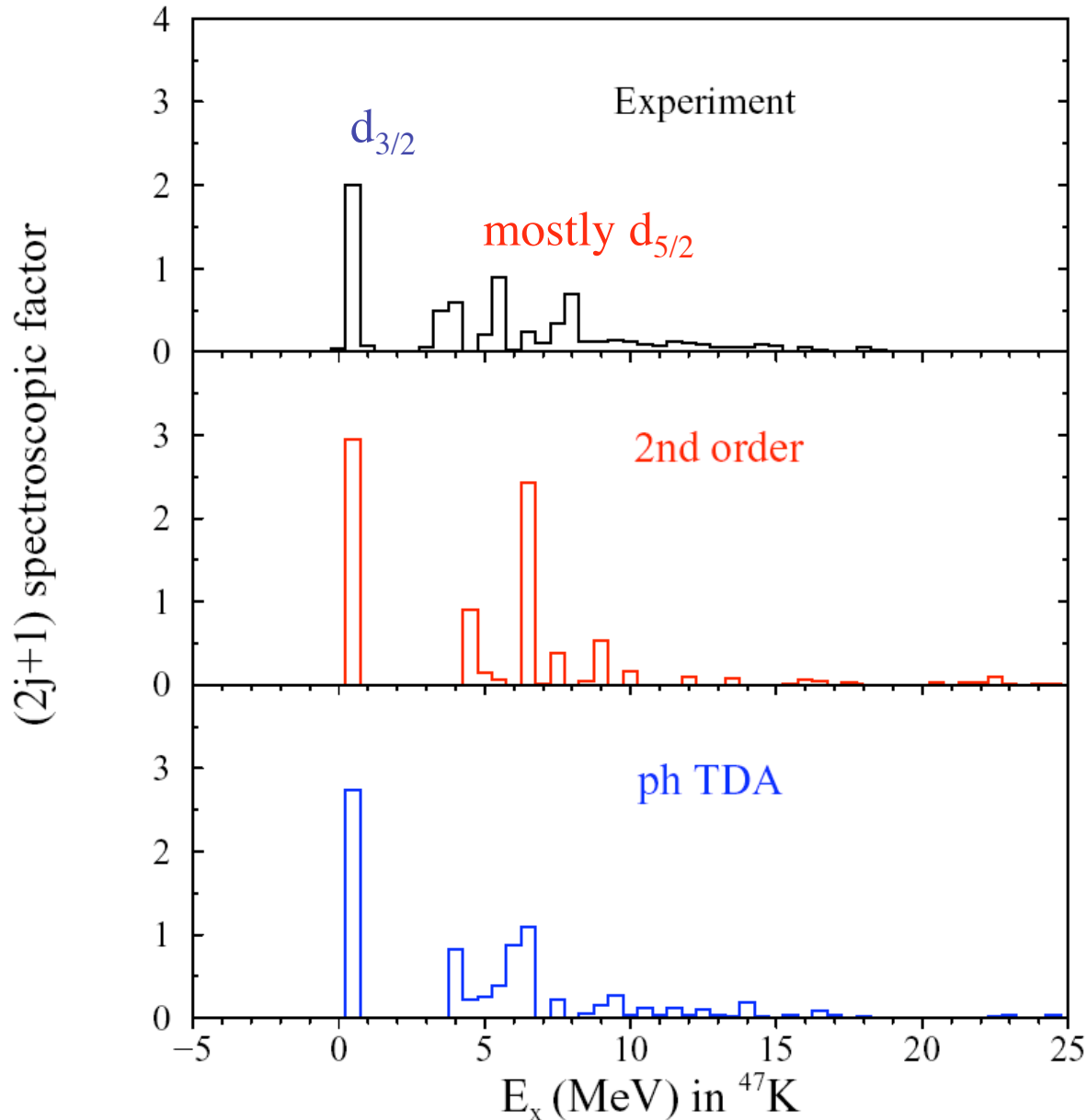
Neglect energy dependence of G then

$$\Sigma^{(2)}(\gamma, \delta; E) = \frac{1}{2} \left\{ \sum_{p_1 p_2 h_3} \frac{\langle \gamma h_3 | G | p_1 p_2 \rangle \langle p_1 p_2 | G | \delta h_3 \rangle}{E - (\varepsilon_{p_1} + \varepsilon_{p_2} - \varepsilon_{h_3}) + i\eta} + \sum_{h_1 h_2 p_3} \frac{\langle \gamma p_3 | G | h_1 h_2 \rangle \langle h_1 h_2 | G | \delta p_3 \rangle}{E - (\varepsilon_{h_1} + \varepsilon_{h_2} - \varepsilon_{p_3}) - i\eta} \right\}$$

Summations only inside M !

Spectral function $^{48}\text{Ca} (e,e'p) ^{47}\text{K} (\ell=2)$

NIKHEF data
G. Kramer, Thesis



Brand *et al.*

Nucl. Phys. **A531**, 253 (1991).

Rijsdijk *et al.*

Nucl.Phys. **A550**, 159 (1992)

Configuration space:
includes three major
shells above ε_F

Distribution of fragments
 ± 100 MeV around ε_F

G -matrix strong enough
to distribute strength in
this interval

Excited states and G ...

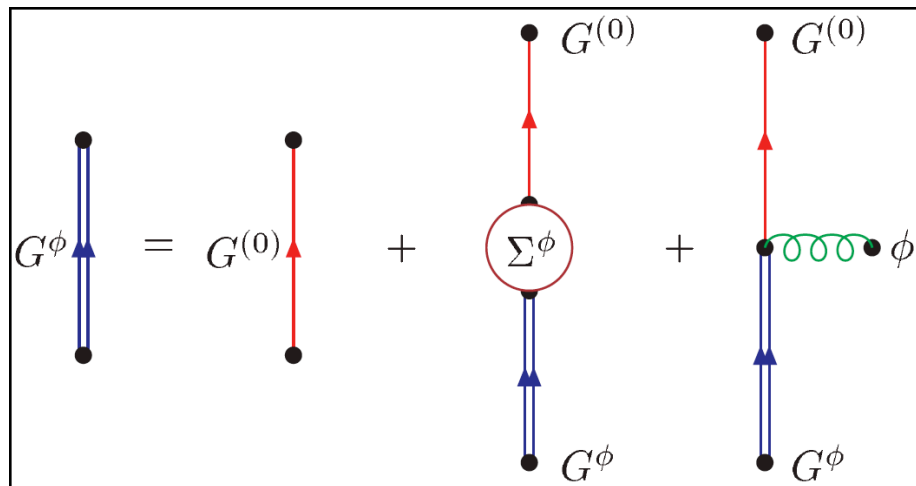
G and excited states ...

Before improving self-energy with a better description of the intermediate $2p1h$ and $2h1p$ states, it is instructive to clarify the deep relation between excited states and the sp propagator G .

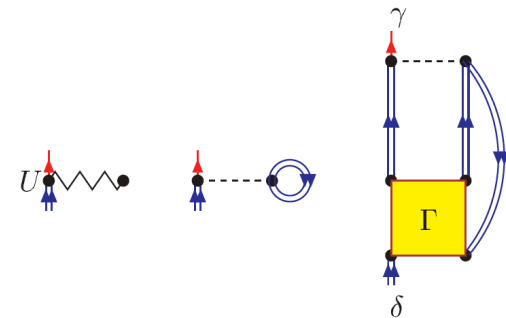
⇒ Study time-dependent external fields that can probe excited states

$$\hat{\phi}(t) = \sum_{\gamma\delta} \langle \gamma | \phi(\vec{x}, t) | \delta \rangle a_{\gamma}^{\dagger} a_{\delta} \quad \text{So Hamiltonian reads} \quad \hat{H}^{\phi}(t) = \hat{H} + \hat{\phi}(t)$$

Equations of motion as before



Σ^{ϕ} as before with $G \Rightarrow G^{\phi}$



Conserving approximations (Baym, Kadanoff, Pitaevskii, Ward)

Conservation laws implied by the Hamiltonian are fulfilled by imposing certain conditions on the approximate self-energy and, consequently, the vertex function Γ : in particular the issue of self-consistency is critical!

\Rightarrow particle number, momentum, energy, ... conservation

\Rightarrow study consequences for the description of excited states

Write $G^\phi(\alpha, \bar{\beta}, t - t') = -\frac{i}{\hbar} \frac{\langle \Psi_0 | T[\hat{S} a_{\alpha_F}(t) a_{\bar{\beta}_F}^\dagger(t')] | \Psi_0 \rangle}{\langle \Psi_0 | T[\hat{S}] | \Psi_0 \rangle}$ as an expansion in ϕ

In linear response (lowest order in ϕ):

Functional derivative of G^ϕ yields $\frac{\delta G^\phi(\alpha, \bar{\beta}, t - t')}{\delta \phi_{\gamma\bar{\delta}}(t'')} = \frac{i}{\hbar} \Pi(\alpha t, \beta^{-1} t'; \gamma t'', \delta^{-1} t'')$

corresponding to the *ph* limit of the two-particle propagator.

Conserving description of excited states

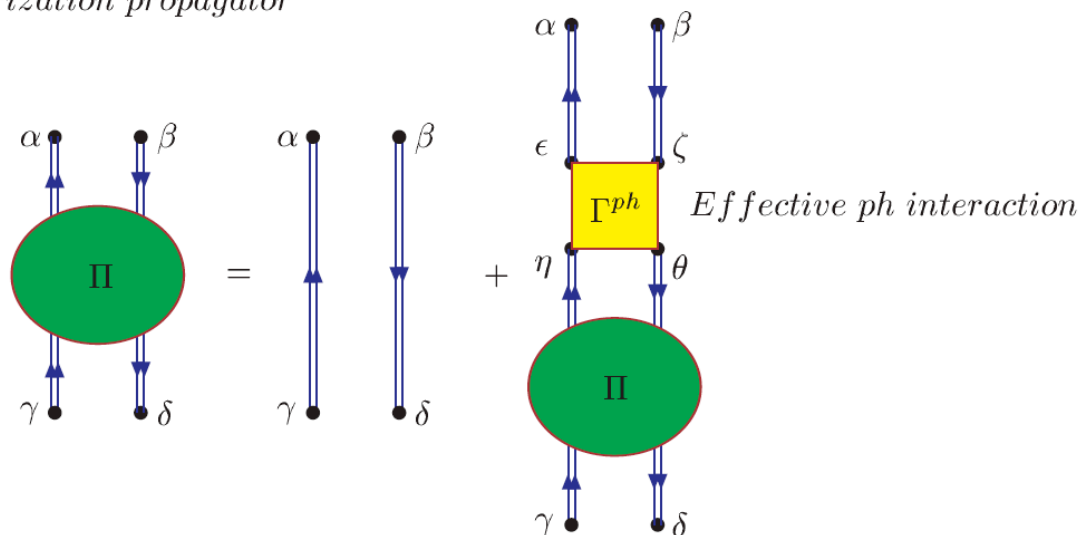
Fourier transform of two-time “polarization” propagator

$$\Pi(\alpha, \beta^{-1}; \gamma, \delta^{-1}; E) = \sum_{n \neq 0} \frac{\langle \Psi_0 | a_{\beta}^+ a_{\alpha} | \Psi_n \rangle \langle \Psi_n | a_{\gamma}^+ a_{\delta} | \Psi_0 \rangle}{E - (E_n - E_0) + i\eta} - \sum_{n \neq 0} \frac{\langle \Psi_0 | a_{\gamma}^+ a_{\delta} | \Psi_n \rangle \langle \Psi_n | a_{\beta}^+ a_{\alpha} | \Psi_0 \rangle}{E + (E_n - E_0) - i\eta}$$

contains all relevant information about excited states (location and one-body transition strength).

Integral equation for three-time polarization propagator from Dyson equation!

Polarization propagator



Propagators are dressed according to approximation (must be self-consistent)

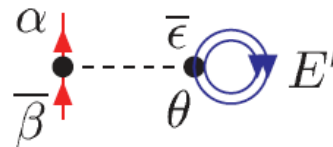
Particle-hole interaction

$$\Gamma^{ph}(\alpha t_1, \beta^{-1} t_2, \gamma t_3, \delta t_4) = \frac{\delta \Sigma(\alpha, \bar{\beta}; t_1 - t_2)}{\delta G(\gamma, \bar{\delta}; t_3 - t_4)}$$

If G is conserving, so is Π
with this Γ^{ph}

Looks complicated ... but ...

Hartree-Fock and RPA



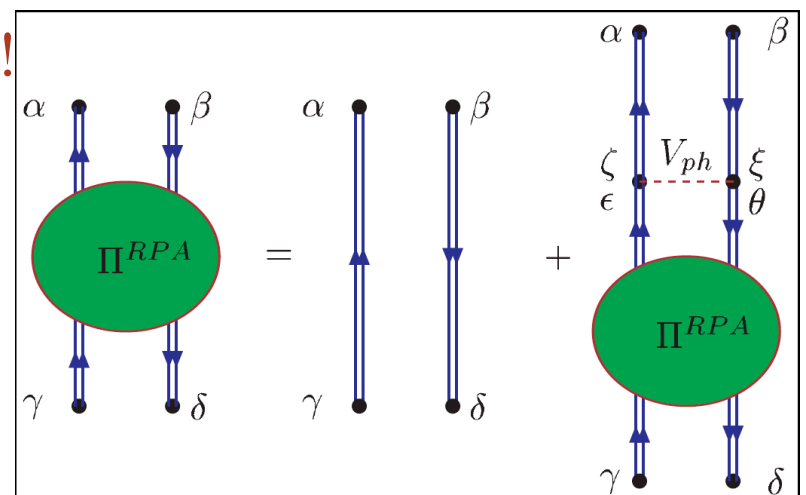
$$\Rightarrow -i\hbar\delta(t - t') \sum_{\theta\bar{\epsilon}} \langle \alpha\bar{\epsilon} | V | \bar{\beta}\theta \rangle G^{HF}(\theta, \bar{\epsilon}; t - t')$$

Functional derivative equivalent to breaking internal propagator line so

$$\Gamma_{HF}^{ph}(\alpha t_1, \beta^{-1} t_2, \gamma t_3, \delta^{-1} t_4) = -i\hbar\delta(t_1 - t_2)\delta(t_1 - t_3)\delta(t_1 - t_4) \langle \alpha\bar{\delta} | V | \bar{\beta}\gamma \rangle$$

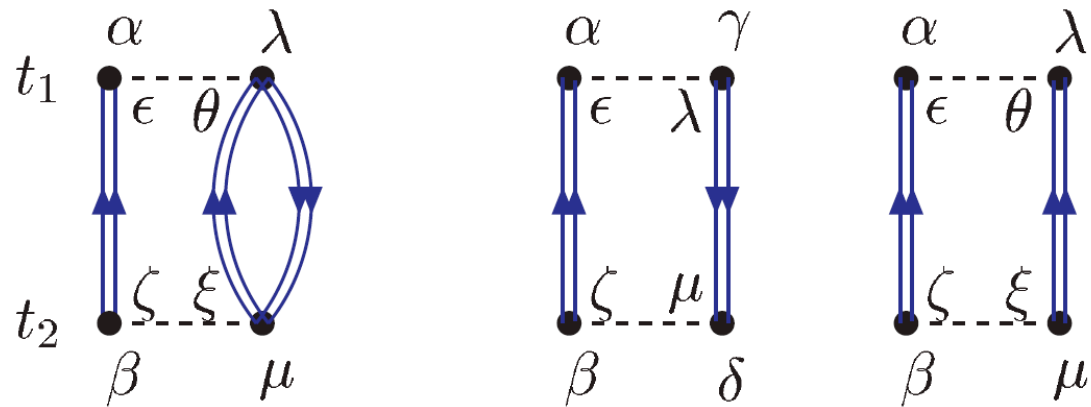
resulting in the RPA approximation to Π !!

sp propagators \Rightarrow HF



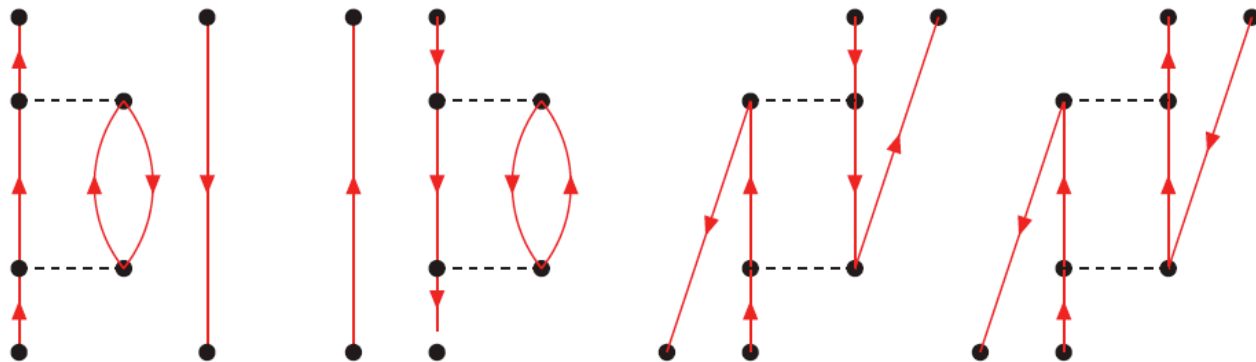
Beyond RPA = beyond mean-field for G

Second-order self-energy



Leads to a consistent coupling of $1p1h$ to $2p2h$ configurations!

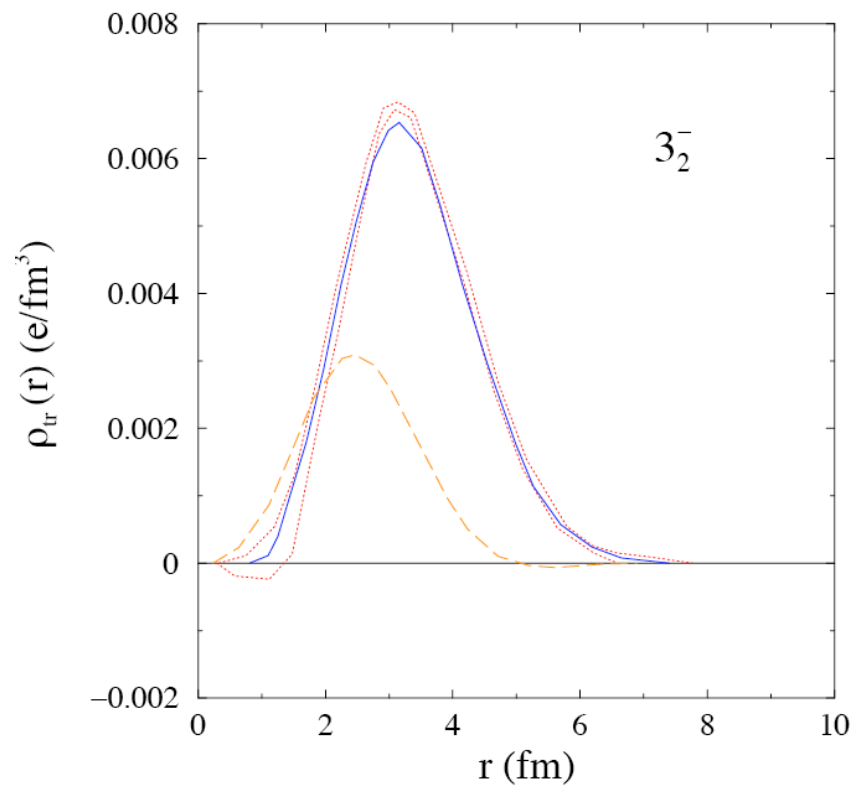
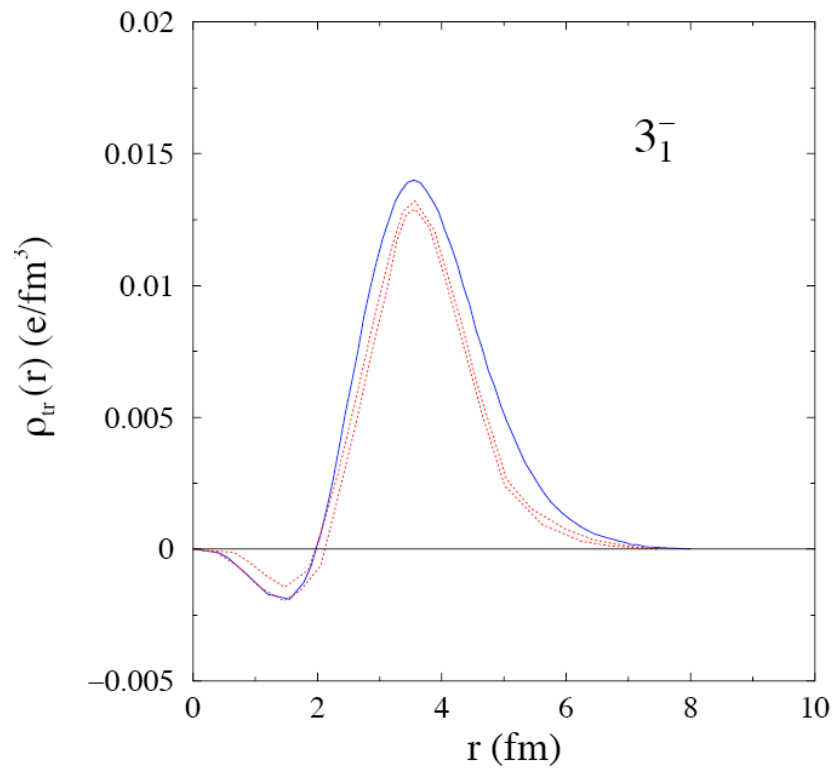
Self - energy terms



ph interaction terms

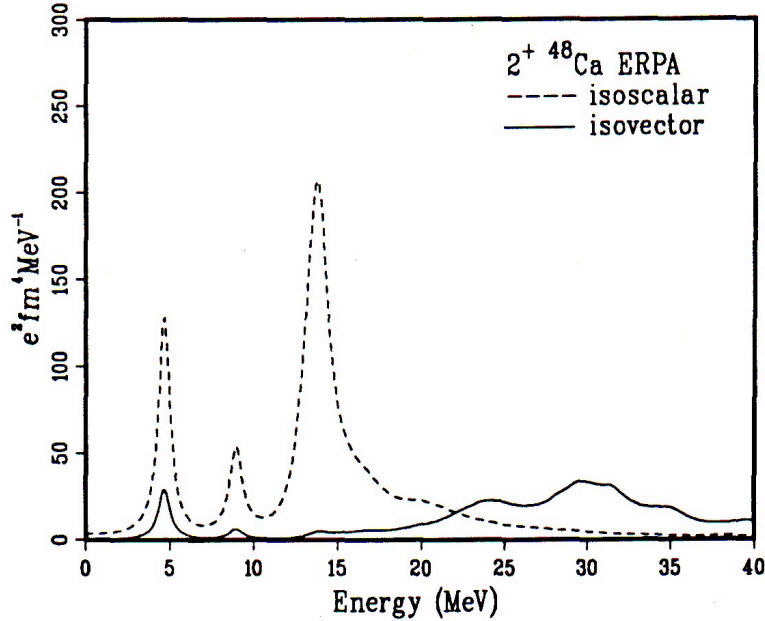
E(xtended)RPA results

Transition densities in ^{48}Ca



Brand *et al.* Nucl.Phys.A509, 1 (1990)

Giant Quadrupole

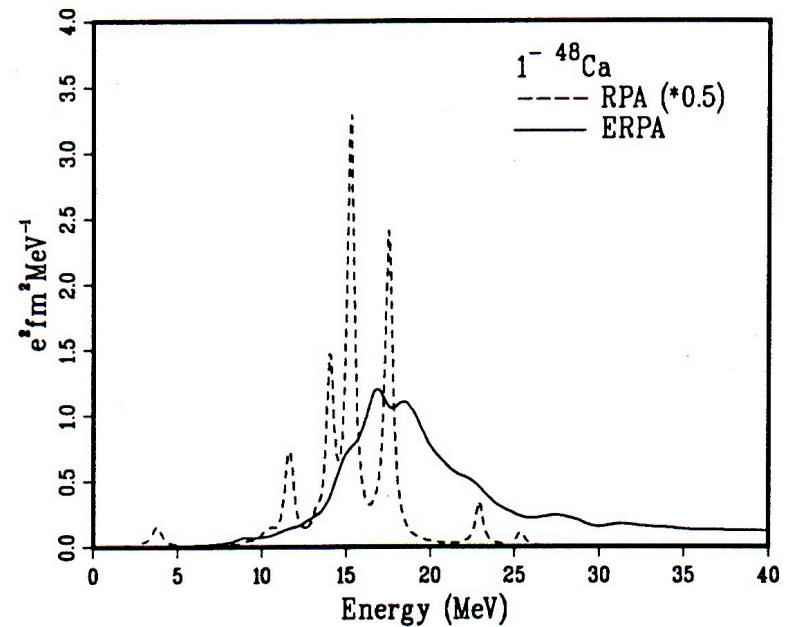


In turn:
Excited states
determine sp fragmentation

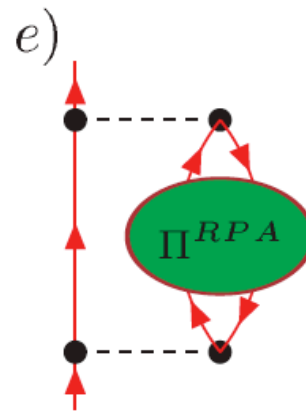
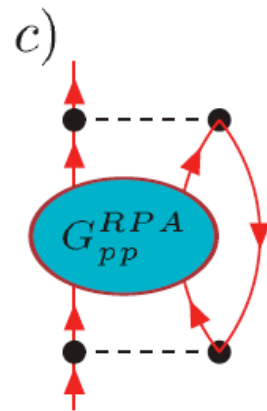
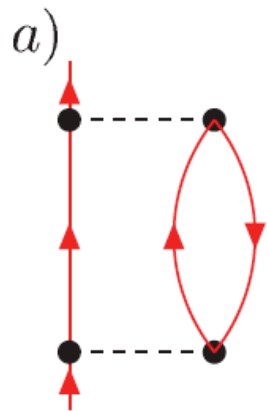
M. G. E. Brand, K. Allaart, and W. D.
Phys. Lett. **214B**, 483 (1988);
Nucl. Phys. **A509**, 1 (1990).

Giant Resonances
only correct when
sp fragmentation
is included!

Giant Dipole

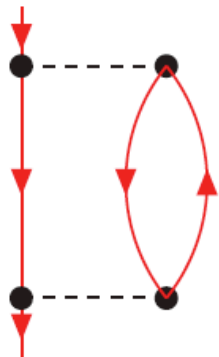


Long-range correlations \Rightarrow typical self-energy contributions

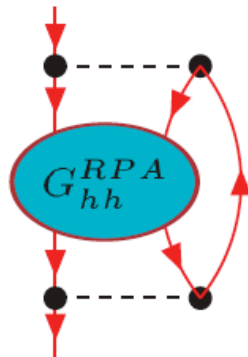


TDA or RPA

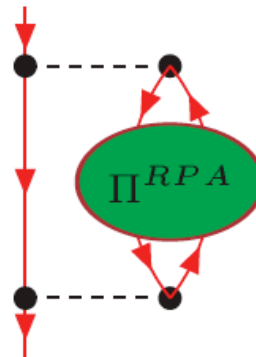
Link with excited states at low energy and their collective features



b)



d)



f)

Results for TDA & RPA self-energies

Need:

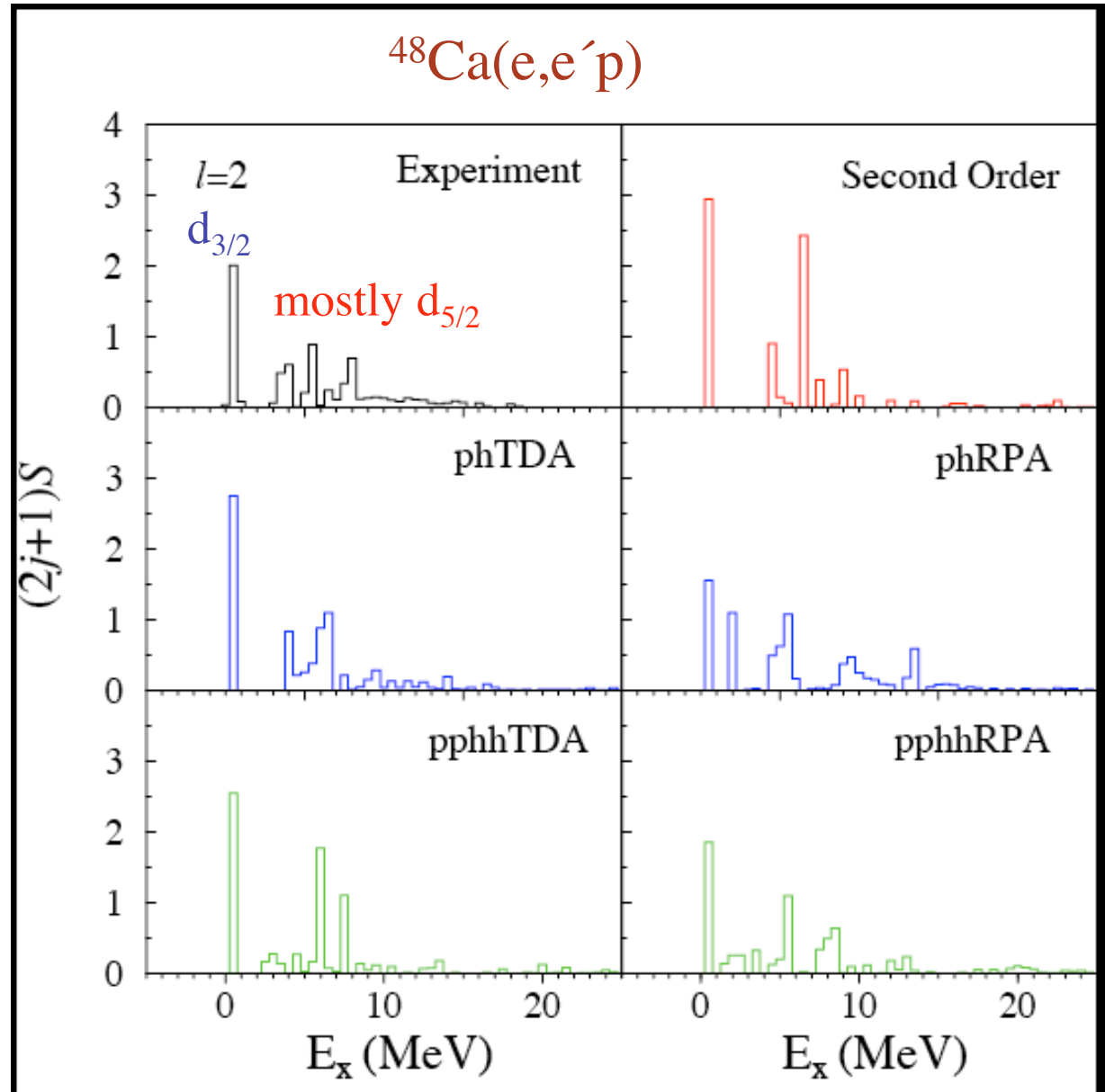
Better fragmentation
at low energy
⇒ Excited states
beyond RPA (unstable)

Less strength at low
energy
⇒ Effect of SRC

Calculations yield:

10% strength at more
bound energies!

10% depletion for states
near the Fermi energy



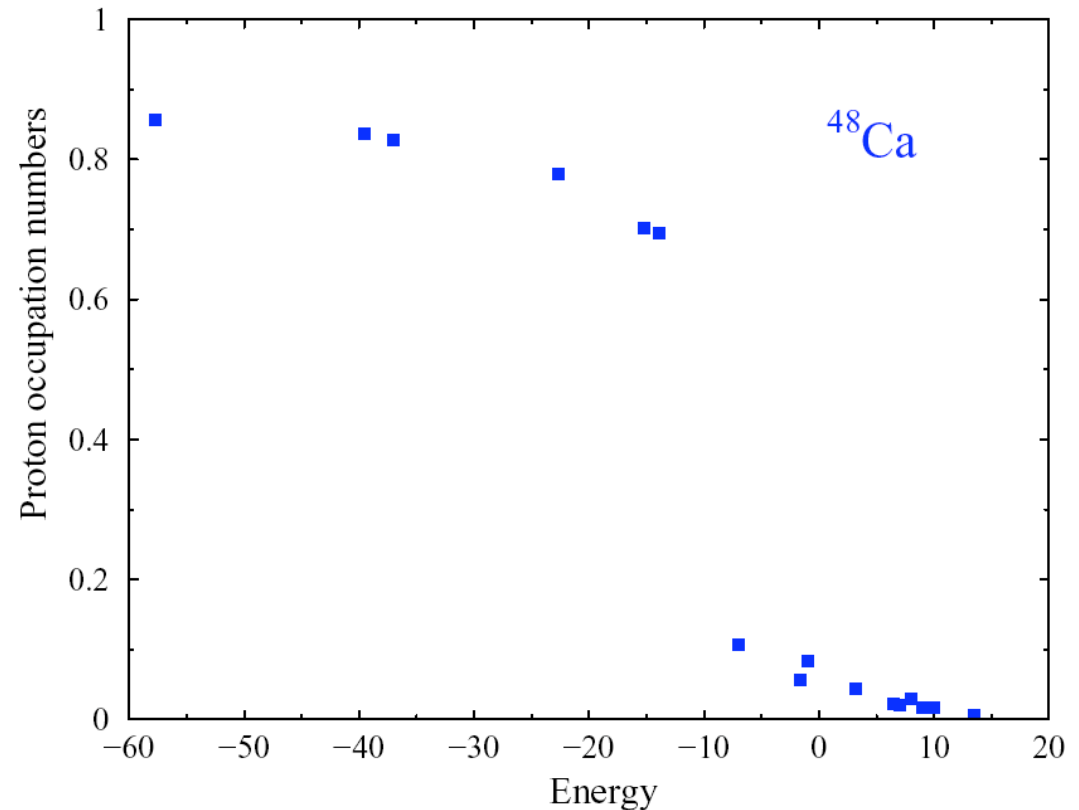
Occupation numbers ^{48}Ca

| Shell | $\Sigma^{(2)}$ | Σ_{ph}^{TDA} | Σ_{ph}^{RPA} | Σ_{pphh}^{TDA} | Σ_{pphh}^{RPA} |
|--------------------|----------------|---------------------|---------------------|-----------------------|-----------------------|
| $0s_{\frac{1}{2}}$ | .967 | .968 | .963 | .965 | .952 |
| $0p_{\frac{3}{2}}$ | .955 | .956 | .944 | .950 | .930 |
| $0p_{\frac{1}{2}}$ | .951 | .951 | .939 | .944 | .920 |
| $0d_{\frac{5}{2}}$ | .920 | .925 | .915 | .898 | .867 |
| $0d_{\frac{3}{2}}$ | .877 | .885 | .891 | .842 | .780 |
| $1s_{\frac{1}{2}}$ | .869 | .860 | .907 | .818 | .773 |
| $0f_{\frac{7}{2}}$ | .060 | .063 | .048 | .082 | .120 |
| $0f_{\frac{5}{2}}$ | .048 | .044 | .043 | .064 | .092 |
| $1p_{\frac{3}{2}}$ | .033 | .031 | .036 | .049 | .063 |
| $1p_{\frac{1}{2}}$ | .030 | .028 | .035 | .042 | .050 |
| $0g\ 1d\ 2s$ | .014 | .014 | .019 | .018 | .026 |
| $0h\ 1f\ 2p$ | .006 | .006 | .006 | .007 | .009 |
| Total | 20.053 | 20.093 | 20.125 | 20.165 | 20.370 |

Occupation numbers from low-energy correlations

| Shell | $n(\alpha)$ |
|------------|-------------|
| $0s_{1/2}$ | 0.968 |
| $0p_{3/2}$ | 0.956 |
| $0p_{1/2}$ | 0.951 |
| $0d_{5/2}$ | 0.925 |
| $0d_{3/2}$ | 0.885 |
| $1s_{1/2}$ | 0.860 |
| $0f_{7/2}$ | 0.063 |
| $0f_{5/2}$ | 0.044 |
| $0p_{3/2}$ | 0.031 |
| $0p_{1/2}$ | 0.028 |
| | |

Including SRC depletion
effect by treating energy
dependence of G -matrix



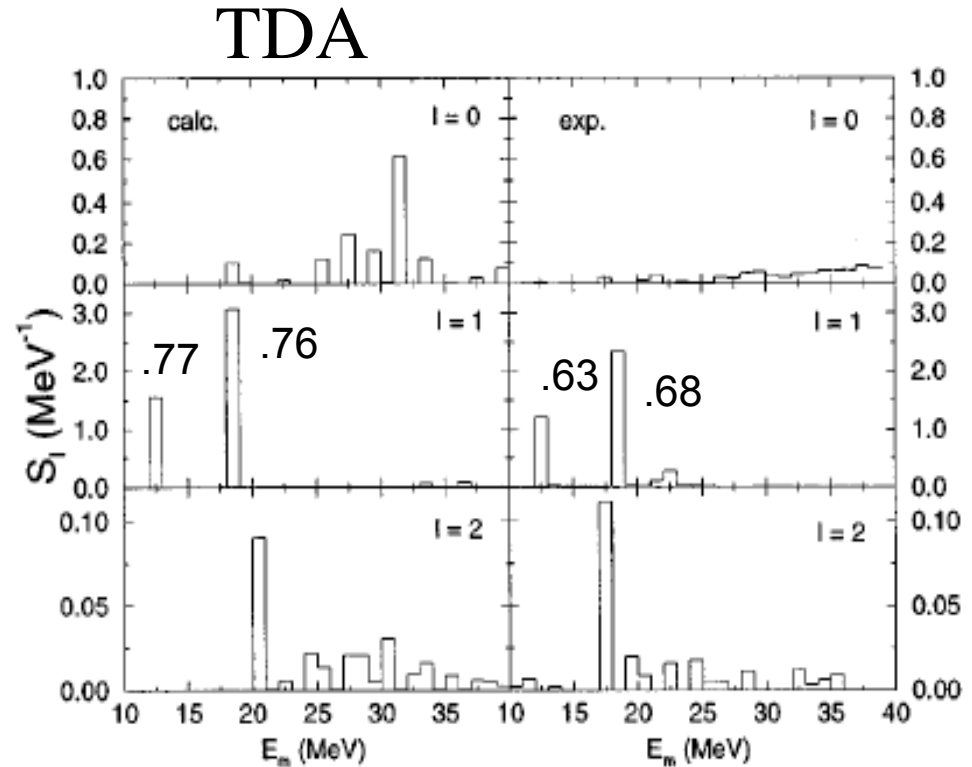
Spectroscopic Strength in ^{16}O

Data: PRC49, 955 (94)

- Influence of SRC ✓✓
- Translational Invariance ✗
- Influence of LRC “✓”
TDA for $2p1h$ and $2h1p$
Geurts et al.
PRC53, 2207 (1996)

- Influence of LRC ✓✓
RPA + Faddeev
C. Barbieri and WHD,
PRC65, 064313 (2002)

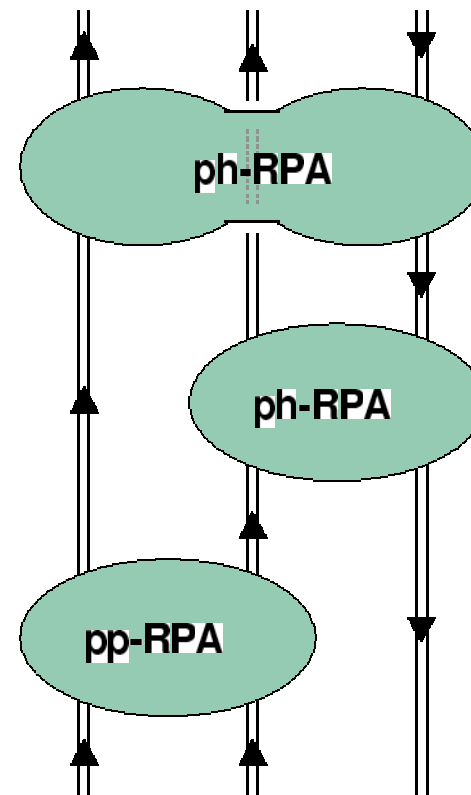
Still not solved because
RPA is not good enough for
 ^{16}O !!



| Shell | TDA | RPA |
|-----------|-------|-------|
| $d_{3/2}$ | 0.866 | 0.838 |
| $s_{1/2}$ | 0.882 | 0.842 |
| $d_{5/2}$ | 0.894 | 0.875 |
| $p_{1/2}$ | 0.775 | 0.745 |
| $p_{3/2}$ | 0.766 | 0.725 |

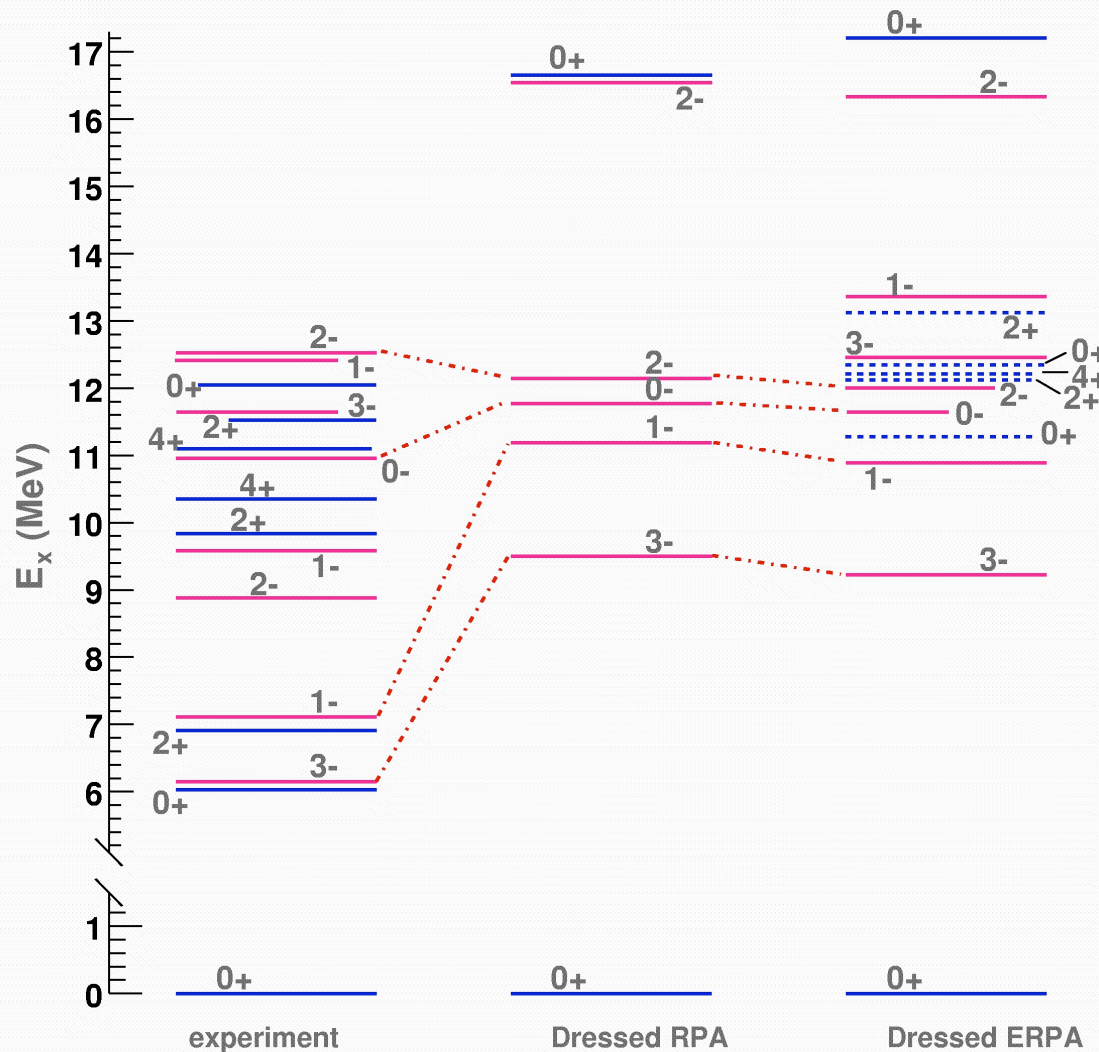
Faddeev technique and Long-Range Correlations

- Both pp (hh) and ph phonons are collective in nuclei using RPA
- Faddeev technique allows correct summation to all orders of these phonons
- Formalism:
Phys. Rev. C**63**, 034313 (2001)
- Results: for ^{16}O
Phys. Rev. C**65**, 064313 (2002)



^{16}O spectrum

ERPA with RPA phonons
includes coupling to 2 phonon states



Long-range correlations
in nuclei are HARD
to calculate for lighter
systems!!!

C. Barbieri & WHD
Phys. Rev. C68, 014311 (2003)

Need to do better!

Relevant for $(e,e'p)$
and $(e,e'2N)$

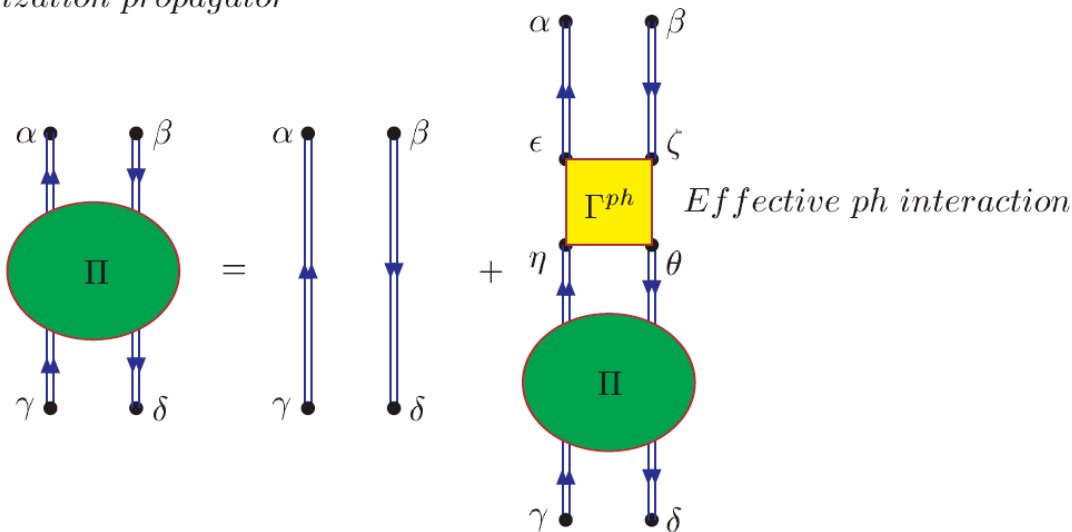
FSI and (e,e'p) ⇔ analysis

$$\hat{O} = \sum_{\alpha\beta} \langle \alpha | O | \beta \rangle a_{\alpha}^+ a_{\beta} \quad \text{Electron Scattering} \Rightarrow \text{one-body operator}$$

$$\left| \langle \Psi_n^A | \hat{O} | \Psi_0^A \rangle \right|^2 = \sum \langle \alpha | O | \beta \rangle^* \langle \gamma | O | \delta \rangle \langle \Psi_0^A | a_{\beta}^+ a_{\alpha} | \Psi_n^A \rangle \langle \Psi_n^A | a_{\gamma}^+ a_{\delta} | \Psi_0^A \rangle$$

Requires (imaginary part of) **exact** polarization propagator

Polarization propagator



Choose kinematics:
⇒ only first term

$$\langle \Psi_m^{A+1} | a_{\alpha}^+ | \Psi_0^A \rangle$$

⇒ Elastic scattering
(phenomenology)

$$\langle \Psi_n^{A-1} | a_{\beta} | \Psi_0^A \rangle$$

“Absolute” spectroscopic factors $\sqrt{\quad}$ ⇒ Quasihole wave function