

NSCL 7/18/05

Spectroscopic factors and the physics of the single-particle strength distribution in nuclei

- Lecture 1: 7/18/05 Propagator description of single-particle motion and the link with experimental data
- Lecture 2: 7/19/05 From diagrams to Hartree-Fock and spectroscopic factors < 1
- Lecture 3: 7/20/05 Influence of long-range correlations and the relation to excited states
- Lecture 4: 7/21/05 Role of short-range and tensor correlations associated with realistic interactions. Prospects for nuclei with N very different from Z .
- Lecture 5: 7/22/05 Saturation problem of nuclear matter

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Some questions ...

What does a nucleon do in the nucleus?

Is this a legitimate question?

Speculations ...

How strong is the dependence on N and Z ?

Energy scales: As high as a realistic V_{NN} will take you

...

Δ -isobars, pions

...

As low as the first excited state

\Rightarrow ALL OF THEM! HOW?

\Rightarrow Time-dependent formulation not surprising

How?

Method: Green's functions (Propagators)
Feynman diagrams

Why: Physical insight and useful for all many-body systems
Link between experiment and theory clear
Can include all energy scales
Efficient with information; generates amplitudes not wave functions

(Text)Book: Willem H Dickhoff & Dimitri Van Neck
“Many-Body Theory Exposed!”
Propagator description of quantum mechanics in many-body systems
World Scientific (2005)

Review: WHD & Carlo Barbieri
Prog. Part. Nucl. Phys. **52**, 377-496 (2004)

Outline

- What is a propagator
- Propagator in the many-body problem
- Information contained in propagator
- Spectral functions
- Relation with experimental data
- Experimental results
- Outline of perturbation theory

What is a propagator or Green's function?

Time evolution is governed by the Hamiltonian H . For a single particle the state

$$|\alpha, t_0; t\rangle = e^{-\frac{i}{\hbar}H(t-t_0)}|\alpha, t_0\rangle$$

is indeed a solution of $i\hbar\frac{\partial}{\partial t}|\alpha, t_0; t\rangle = H|\alpha, t_0; t\rangle$

Relation between wave function at t and t_0 can then be written as

$$\begin{aligned}\psi(\vec{r}, t) &= \langle\vec{r}|\alpha, t_0; t\rangle = \langle\vec{r}|e^{-\frac{i}{\hbar}H(t-t_0)}|\alpha, t_0\rangle = \int d\vec{r}'\langle\vec{r}|e^{-\frac{i}{\hbar}H(t-t_0)}|\vec{r}'\rangle\langle\vec{r}'|\alpha, t_0\rangle \\ &= i\hbar \int d\vec{r}' G(\vec{r}, \vec{r}'; t - t_0)\psi(\vec{r}', t_0)\end{aligned}$$

with the propagator or Green's function defined by

$$G(\vec{r}, \vec{r}'; t - t_0) = -\frac{i}{\hbar}\langle\vec{r}|e^{-\frac{i}{\hbar}H(t-t_0)}|\vec{r}'\rangle \quad \text{Recall Huygens' principle!}$$

Alternative expressions

Using $\theta(t - t_0) = -\int \frac{dE'}{2\pi i} \frac{e^{-\frac{i}{\hbar}E'(t-t_0)}}{E' + i\eta}$ and $\frac{d}{dt}\theta(t - t_0) = \delta(t - t_0)$

the Fourier transform of the propagator can be written as

$$\begin{aligned} G(\vec{r}, \vec{r}'; E) &= \int_{-\infty}^{\infty} d(t - t_0) e^{\frac{i}{\hbar}E(t-t_0)} G(\vec{r}, \vec{r}'; t - t_0) \\ &= \sum_n \frac{\langle 0 | a_{\vec{r}} | n \rangle \langle n | a_{\vec{r}'}^+ | 0 \rangle}{E - \varepsilon_n + i\eta} \\ &= \langle 0 | a_{\vec{r}} \frac{1}{E - H + i\eta} a_{\vec{r}'}^+ | 0 \rangle \quad \text{with} \quad H | n \rangle = \varepsilon_n | n \rangle \end{aligned}$$

Also $\langle 0 | a_{\vec{r}} | n \rangle = \langle \vec{r} | n \rangle = u_n(\vec{r})$

So numerator yields information on wave functions and denominator on eigenvalues of H .

How is G calculated?

“Simple” for the case of one particle. Can proceed by splitting

$H = H_0 + V$ and using the operator identity $\frac{1}{A-B} = \frac{1}{A} + \frac{1}{A} B \frac{1}{A-B}$

for the operator $G = \frac{1}{E - H + i\eta}$ with $A = E - H_0 + i\eta$

and $B = V$ to obtain G in terms of $G^{(0)}$ and V :

$$\begin{aligned} G &= G^{(0)} + G^{(0)}VG \\ &= G^{(0)} + G^{(0)}VG^{(0)} + G^{(0)}VG^{(0)}VG^{(0)} + \dots \end{aligned}$$

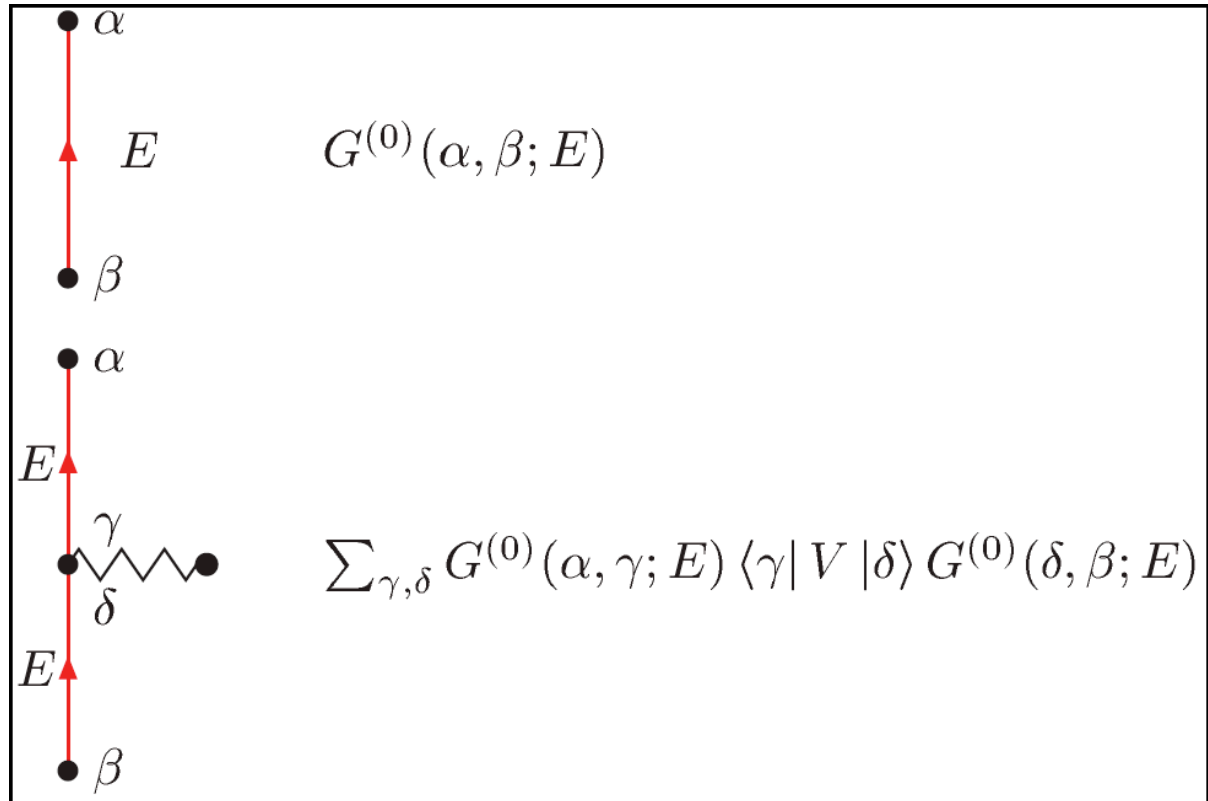
or in a particular basis

$$G(\alpha, \beta; E) = G^{(0)}(\alpha, \beta; E) + \sum_{\gamma\delta} G^{(0)}(\alpha, \gamma; E) \langle \gamma | V | \delta \rangle G(\delta, \beta; E)$$

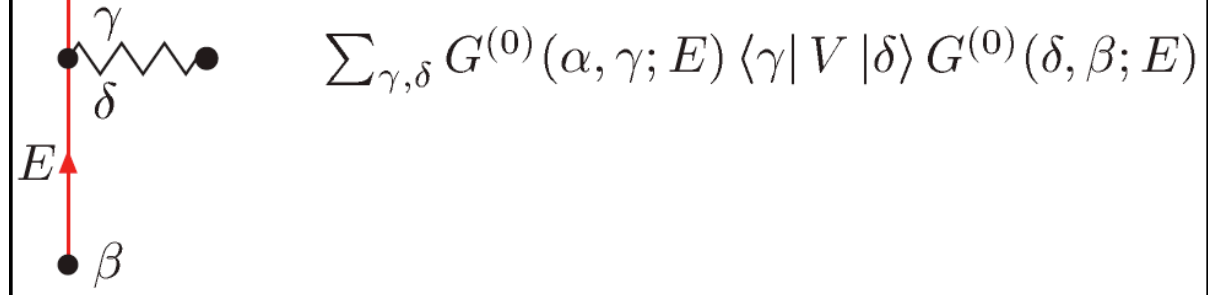
with $G(\alpha, \beta; E) = \langle \alpha | \frac{1}{E - H + i\eta} | \beta \rangle$

Diagrams

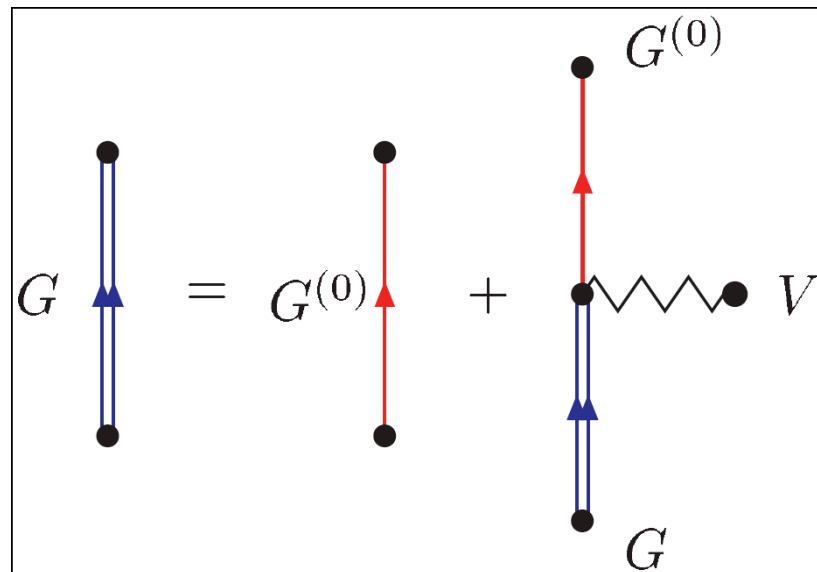
Lowest order



First order



All orders summed by



Single-particle propagator in the medium

Definition $G(\alpha, \beta; t - t') = -\frac{i}{\hbar} \langle \Psi_0^N | T [a_{\alpha_H}(t) a_{\beta_H}^+(t')] | \Psi_0^N \rangle$

with $\hat{H} | \Psi_0^N \rangle = E_0^N | \Psi_0^N \rangle$ for the exact ground state

and $a_{\alpha_H}(t) = e^{\frac{i}{\hbar} \hat{H} t} a_{\alpha} e^{-\frac{i}{\hbar} \hat{H} t}$ (Heisenberg picture)

while T orders the operators with larger time on the left including a sign change

$$G(\alpha, \beta; t - t') = -\frac{i}{\hbar} \left\{ \theta(t - t') e^{\frac{i}{\hbar} E_0^N (t-t')} \langle \Psi_0^N | a_{\alpha} e^{-\frac{i}{\hbar} \hat{H} (t-t')} a_{\beta}^+ | \Psi_0^N \rangle \right. \\ \left. - \theta(t - t') e^{\frac{i}{\hbar} E_0^N (t'-t)} \langle \Psi_0^N | a_{\beta}^+ e^{-\frac{i}{\hbar} \hat{H} (t'-t)} a_{\alpha} | \Psi_0^N \rangle \right\}$$

particle
hole

Fourier transform of G (Lehmann representation)

$$G(\alpha, \beta; E) = \sum_m \frac{\langle \Psi_0^N | a_\alpha | \Psi_m^{N+1} \rangle \langle \Psi_m^{N+1} | a_\beta^+ | \Psi_0^N \rangle}{E - (E_m^{N+1} - E_0^N) + i\eta} \quad \Leftarrow \text{Particle part}$$

$$+ \sum_n \frac{\langle \Psi_0^N | a_\beta^+ | \Psi_n^{N-1} \rangle \langle \Psi_n^{N-1} | a_\alpha | \Psi_0^N \rangle}{E - (E_0^N - E_n^{N-1}) - i\eta} \quad \Leftarrow \text{Hole part}$$

Numerator contains information about “wave functions”

$$\langle \Psi_n^{N-1} | a_\alpha | \Psi_0^N \rangle \quad \text{and} \quad \langle \Psi_m^{N+1} | a_\beta^+ | \Psi_0^N \rangle$$

while denominator identifies eigenvalues of H for the $N \pm 1$ states

Note $\hat{H} | \Psi_n^{N \pm 1} \rangle = E_n^{N \pm 1} | \Psi_n^{N \pm 1} \rangle$

has been used for exact $N \pm 1$ states of H

Spectral functions

Probability density for the removal of a particle with quantum numbers represented by α from the ground state, while leaving the remaining system at an energy $E_n^{N-1} = E_0^N - E$

$$S_h(\alpha; E) = \sum_n \left| \langle \Psi_n^{N-1} | a_\alpha | \Psi_0^N \rangle \right|^2 \delta\left(E - (E_0^N - E_n^{N-1})\right)$$

for energies $E \leq \varepsilon_F^- = E_0^N - E_0^{N-1}$

Relation of “hole” spectral function to propagator

$$S_h(\alpha; E) = \frac{1}{\pi} \text{Im} G(\alpha, \alpha; E) \quad \text{based on} \quad \frac{1}{x \pm i\eta} = P \frac{1}{x} \mp i\pi\delta(x)$$

Occupation number:
$$n(\alpha) = \int_{-\infty}^{\varepsilon_F^-} S_h(\alpha; E) dE = \langle \Psi_0^N | a_\alpha^\dagger a_\alpha | \Psi_0^N \rangle$$

Relation with experimental data

Direct knockout reaction:

Transfer a large amount of momentum and energy to a bound N -particle system leaving an ejected fast particle and a bound $N-1$ system. By observing the momentum of the ejected particle one can reconstruct the hole spectral function.

$$\text{Initial state } |\Psi_i\rangle = |\Psi_0^N\rangle \quad \text{Final state } |\Psi_f\rangle = a_{\vec{p}}^+ |\Psi_n^{N-1}\rangle$$

$$\text{External probe transfers momentum } \hat{\rho}(\vec{q}) = \sum_{\vec{p}} a_{\vec{p}}^+ a_{\vec{p}-\vec{q}}$$

$$\text{Transition matrix element } \langle \Psi_f | \hat{\rho}(\vec{q}) | \Psi_i \rangle \approx \langle \Psi_n^{N-1} | a_{\vec{p}-\vec{q}} | \Psi_0^N \rangle$$

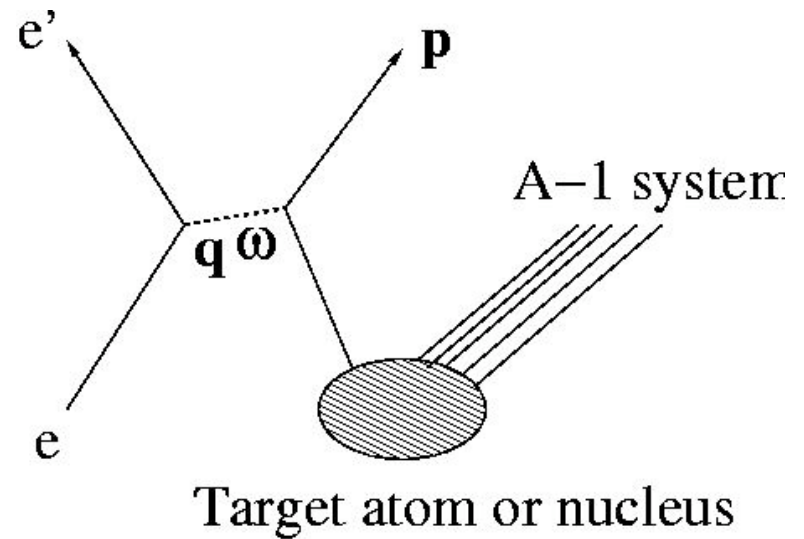
(Plane Wave) Impulse Approximation \Rightarrow ejected particle absorbs \mathbf{q}

Cross section from Fermi's **Golden Rule**

$$d\sigma \propto \sum_n \left| \langle \Psi_f | \hat{\rho}(\vec{q}) | \Psi_i \rangle \right|^2 \delta(E + E_i - E_f) = S_h(\vec{p}_{miss}; E_{miss})$$

$$\text{with } \vec{p}_{miss} = \vec{p} - \vec{q} \quad \text{and} \quad E_{miss} = \frac{\vec{p}^2}{2m} - E = E_0^N - E_n^{N-1}$$

Basic idea of (e,2e) or (e,e'p)



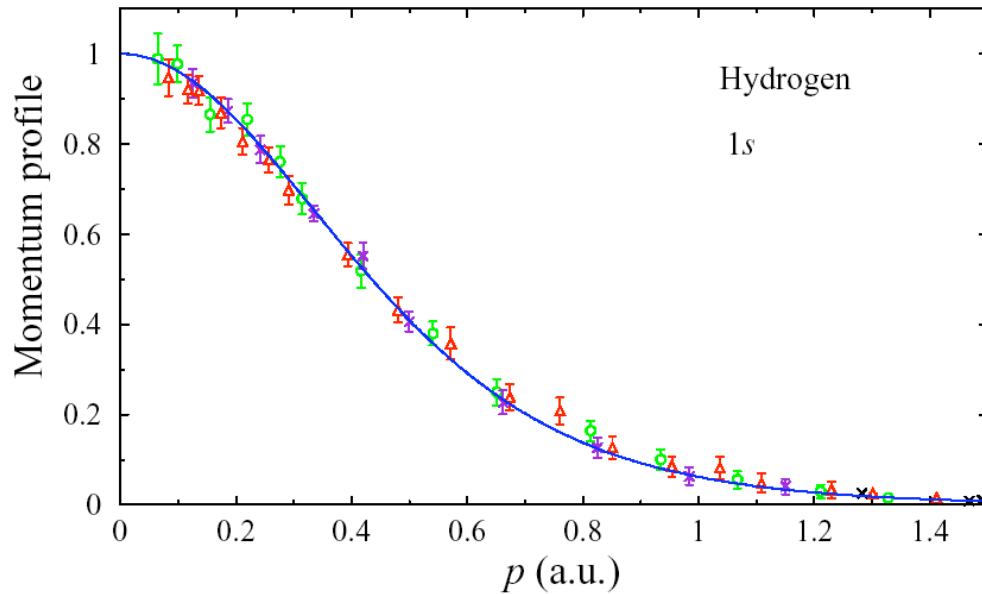
$$d\sigma_L \propto \left| \langle \Psi_f | \hat{\rho}_c(\vec{q}) | \Psi_i \rangle \right|^2 \delta(E - E_i - E_f)$$

Simplest case: $\langle \vec{p}, \Psi_n^{N-1} | \hat{\rho}_c(\vec{q}) | \Psi_0^N \rangle \Rightarrow \langle \Psi_n^{N-1} | a_{\vec{p}-\vec{q}} | \Psi_0^N \rangle$

$$\Rightarrow d\sigma_L \propto \sum_n \langle \Psi_0^N | a_{\vec{p}-\vec{q}}^+ | \Psi_n^{N-1} \rangle \langle \Psi_n^{N-1} | a_{\vec{p}-\vec{q}} | \Psi_0^N \rangle \delta(E_{miss} - (E_0^N - E_n^{N-1}))$$

Realistic case : distorted waves / more realistic description of knocked out particle

Atoms studied with the (e,2e) reaction

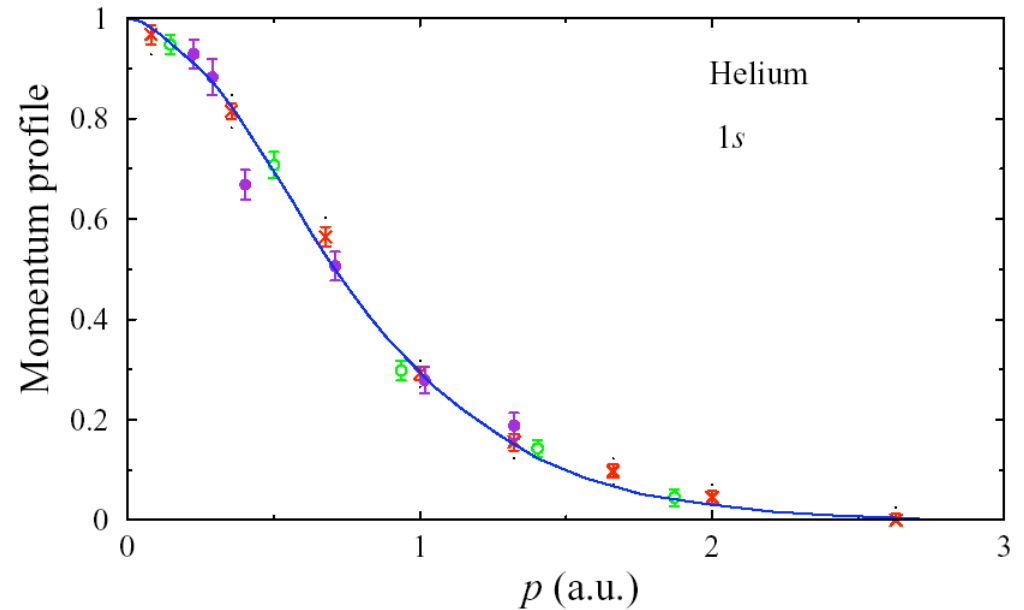


$$\varphi_{1s}(p) = 2^{3/2} \pi \frac{1}{(1+p^2)^2}$$

Hydrogen 1s wave function
“seen” experimentally
Phys. Lett. 86A, 139 (1981)

And so on for other atoms ...

Helium
in Phys. Rev. A8, 2494 (1973)

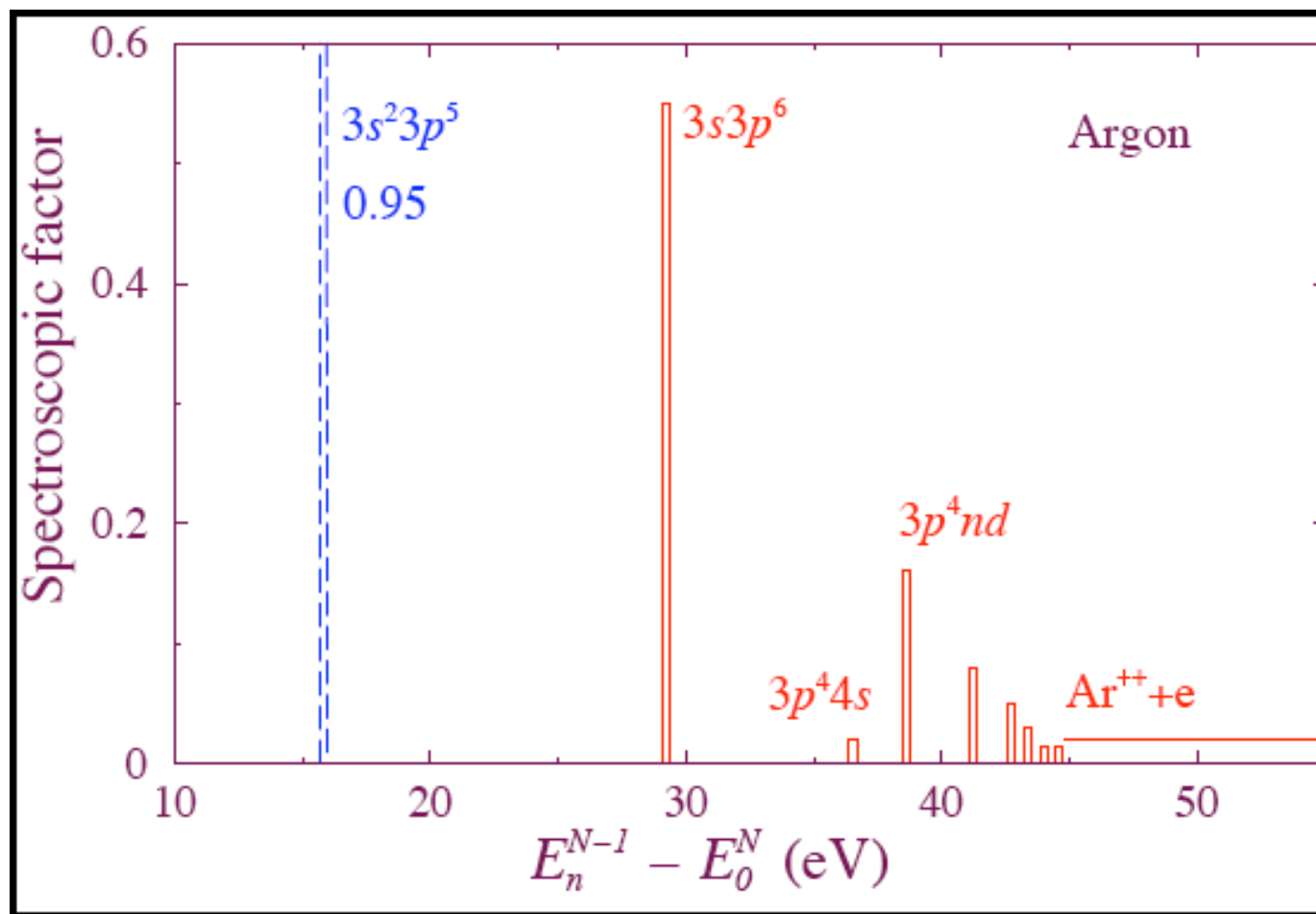


Spectroscopic factors in atoms

For a bound final $N-1$ state the spectroscopic factor is given by $S = \int d\vec{p} \left| \langle \Psi_n^{N-1} | a_{\vec{p}} | \Psi_0^N \rangle \right|^2$

For H and He the $1s$ electron spectroscopic factor is 1

For Ne the valence $2p$ electron has $S=0.92$ with two additional fragments, each carrying 0.04, at higher energy.

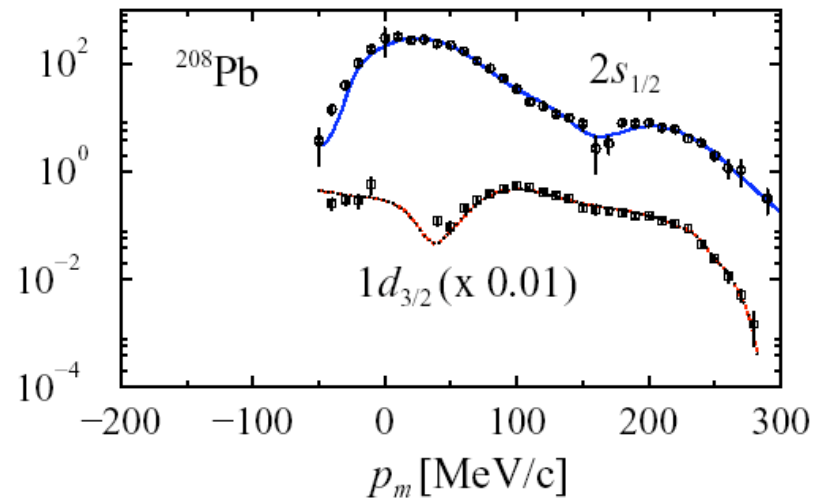
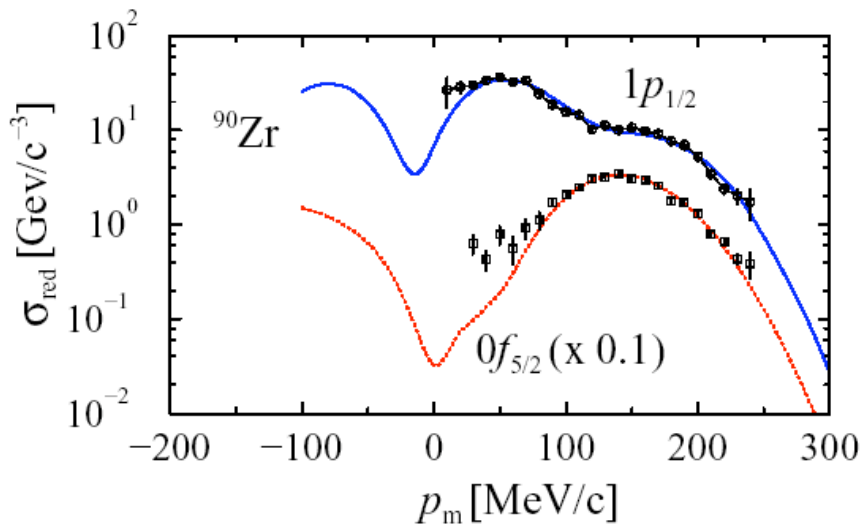
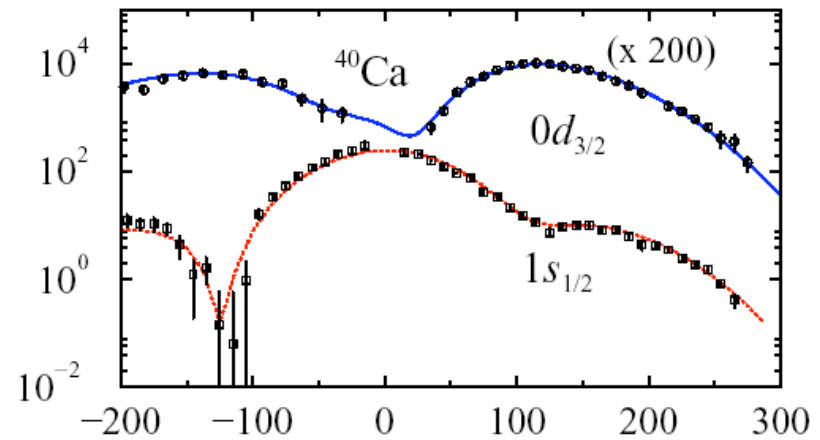
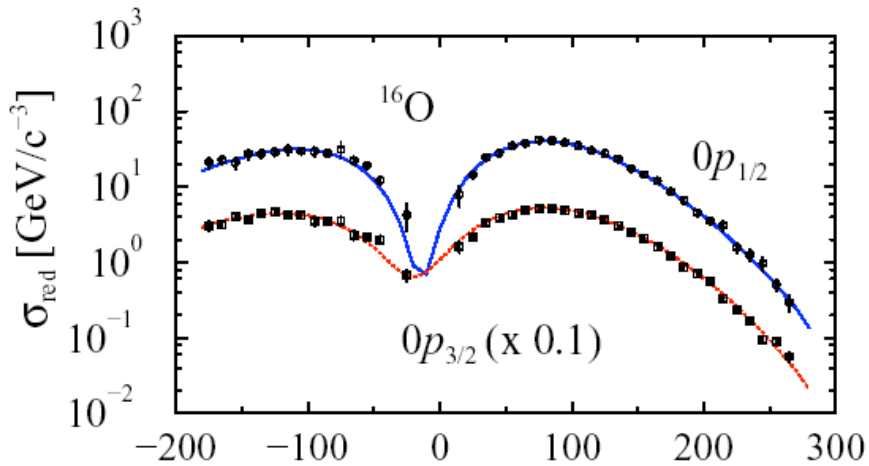


Argon
3p and 3s
strength

Closed-shell
atoms
 $n(\alpha) = 0$ or 1

(e,e'p) cross sections for closed-shell nuclei

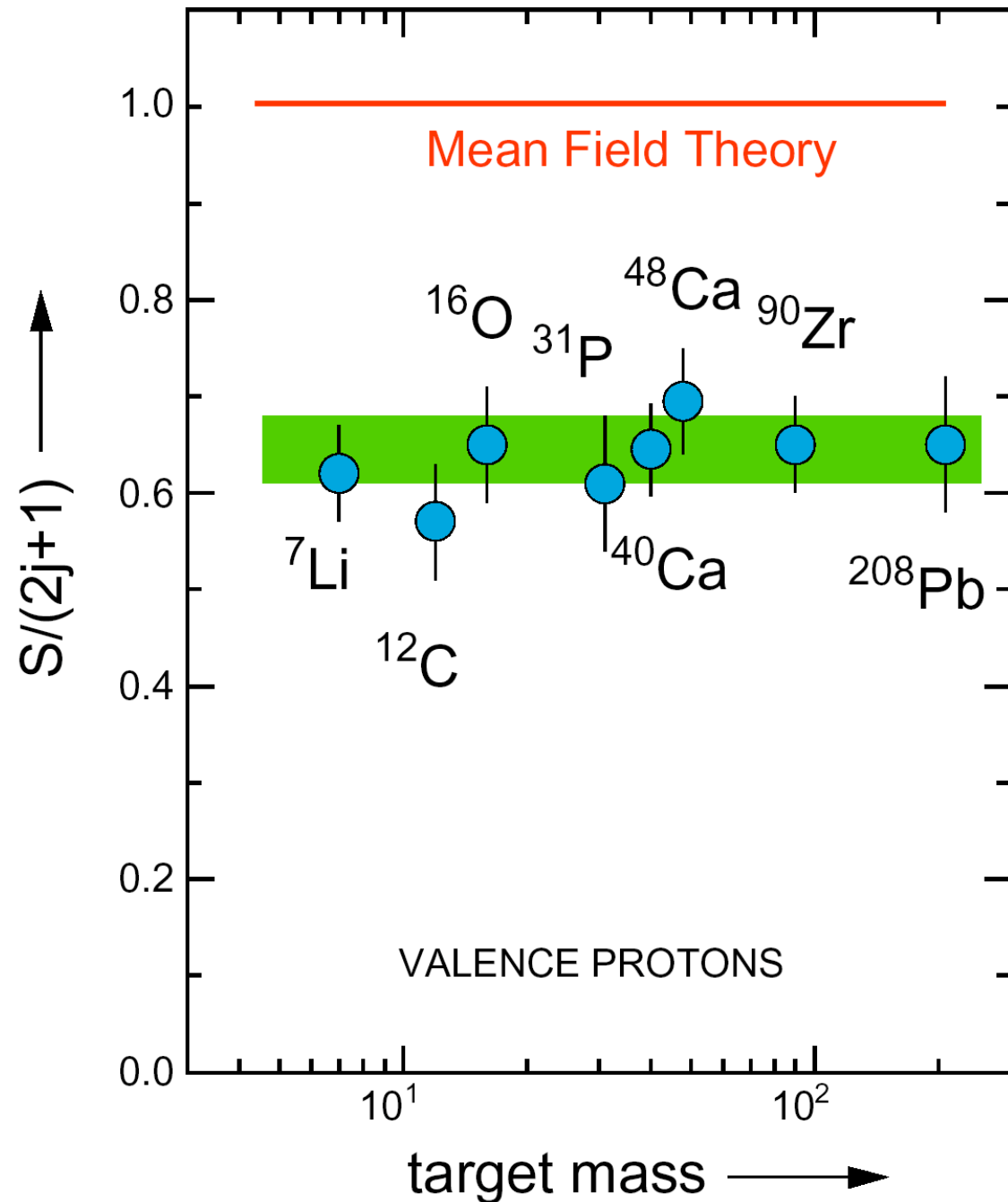
NIKHEF data, L. Lapikás, Nucl. Phys. A553, 297c (1993)



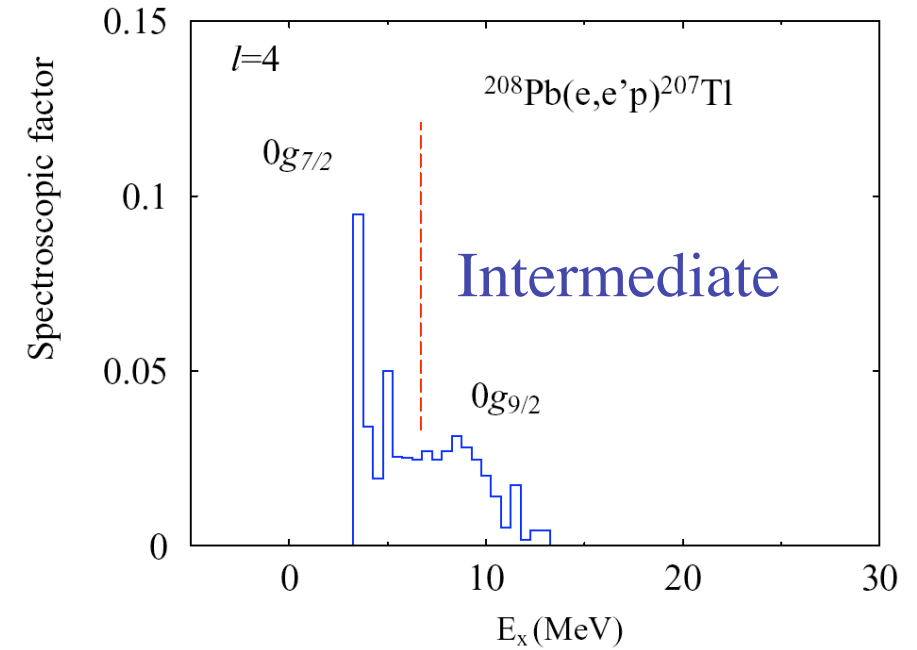
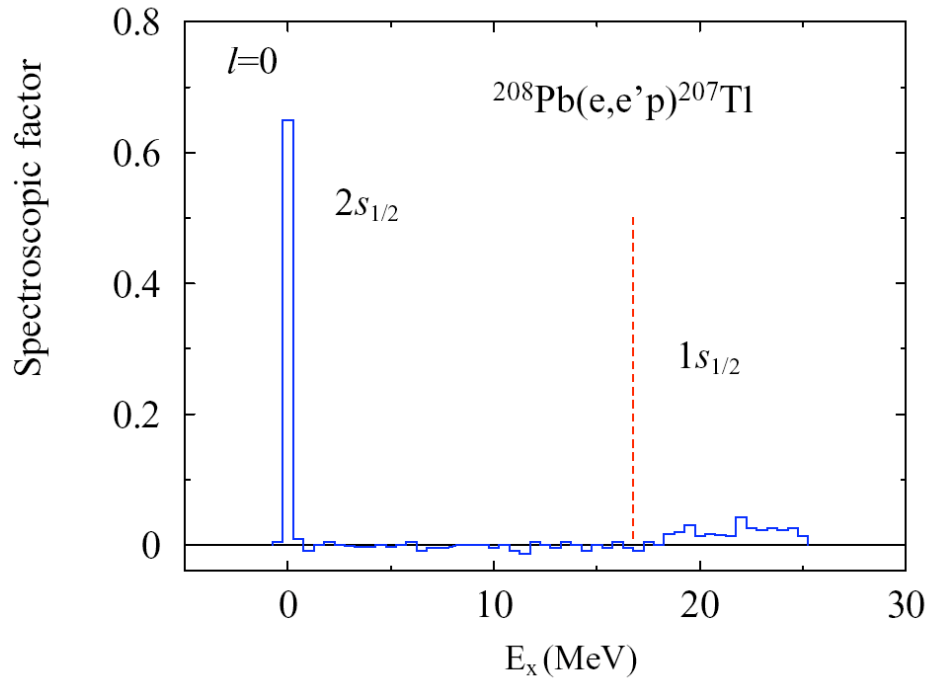
Except

Removal
probability for
valence protons
from
NIKHEF data

Note:
We have seen mostly
data for removal of
valence protons

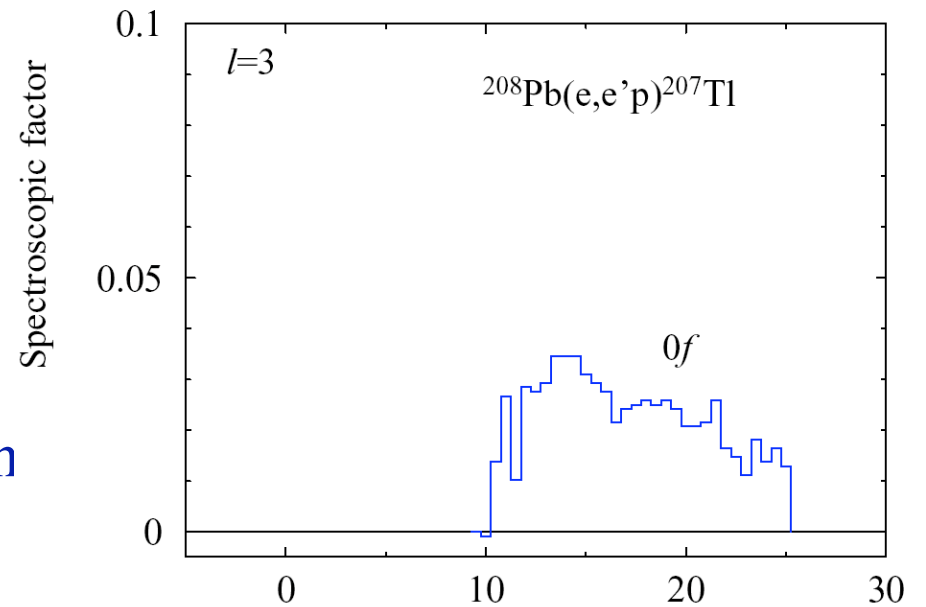


and ...



Quasihole strength or
 spectroscopic factor $Z(2s_{1/2})=0.65$

$n(2s_{1/2}) = 0.75$
 from elastic electron scattering
 Strong fragmentation
 deeply-bound states



Many-body perturbation theory for G

- Identify solvable problem by considering $\hat{H}_0 = \hat{T} + \hat{U}$ where U is a suitable auxiliary potential.
- Develop expansion in $\hat{H}_1 = \hat{V} - \hat{U}$
- Employs time-evolution, Heisenberg, Schrödinger, and interaction picture of quantum mechanics.
- Once established, this expansion (expressed in Feynman diagrams) is organized in such a way that nonperturbative results can be obtained leading to the Dyson equation. The Dyson equation describes sp motion in the medium under the influence of the self-energy which is an energy-dependent complex sp potential.
- Further insight into the proper description of sp motion in the medium is obtained by studying the relation between sp and two-particle propagation. This allows the selection of appropriate choices of the relevant ingredients for the system under study.