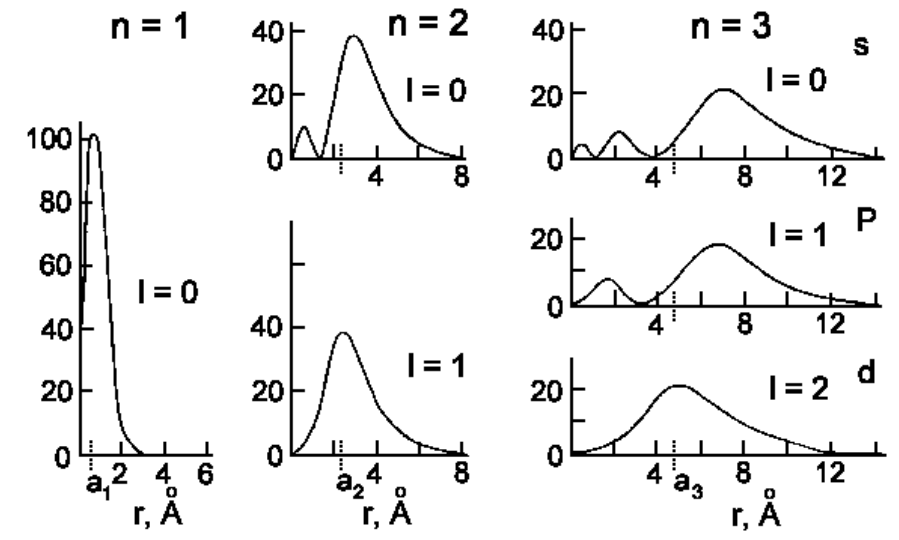
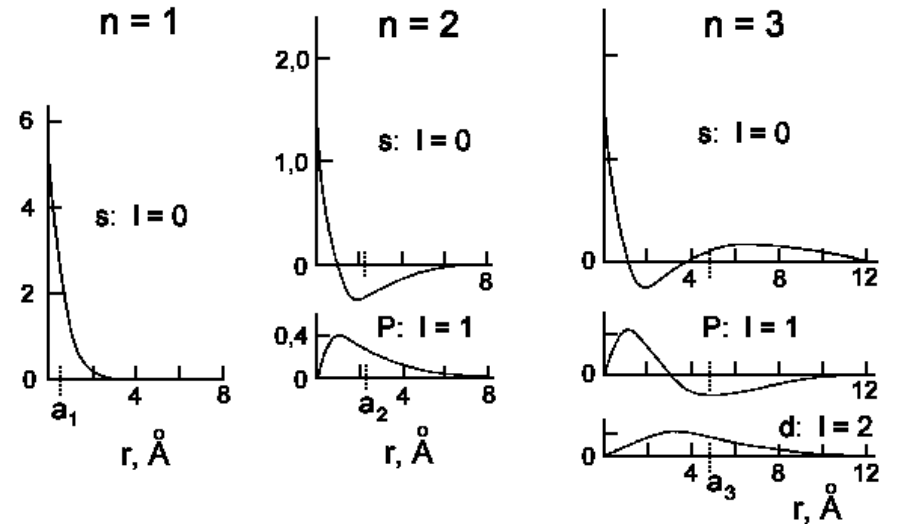
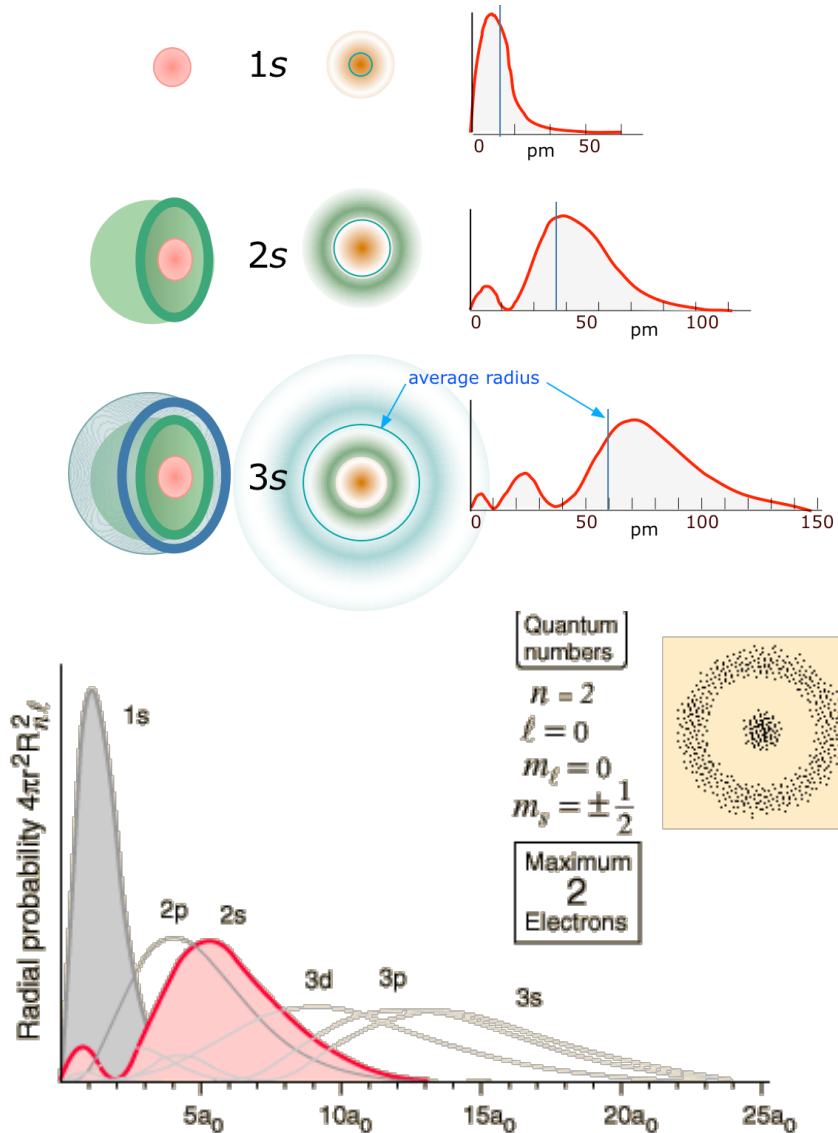


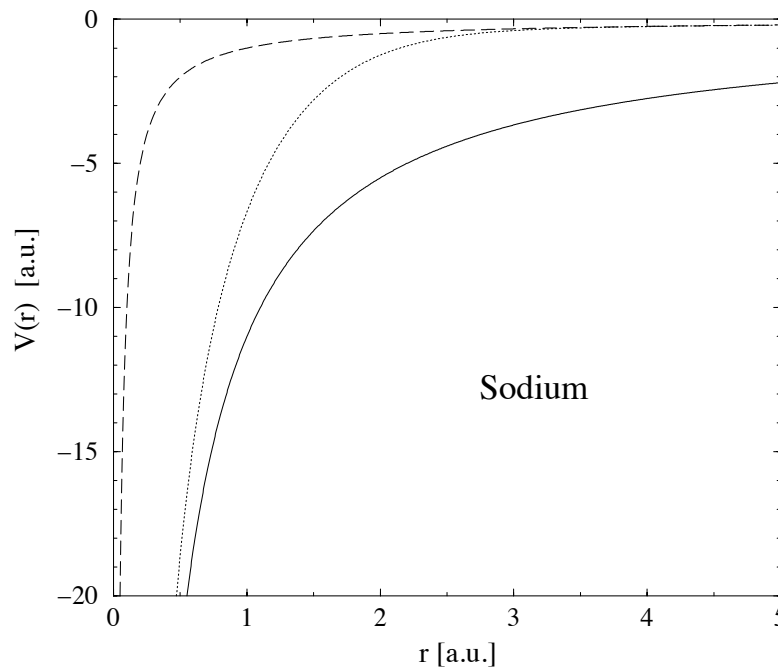
Wave functions

- Shells
- Orthogonality



Effect of other electrons in neutral atoms

- Consider effect of electrons in closed shells for neutral Na
- large distances: nuclear charge screened to 1
- close to the nucleus: electron "sees" all 11 protons
- approximately:



- lifts H-like degeneracy: $\epsilon_{2s} < \epsilon_{2p}$
 $\epsilon_{3s} < \epsilon_{3p} < \epsilon_{3d}$
- "Far away" orbits: still hydrogen-like!

Example: Na

- Fill the lowest shells

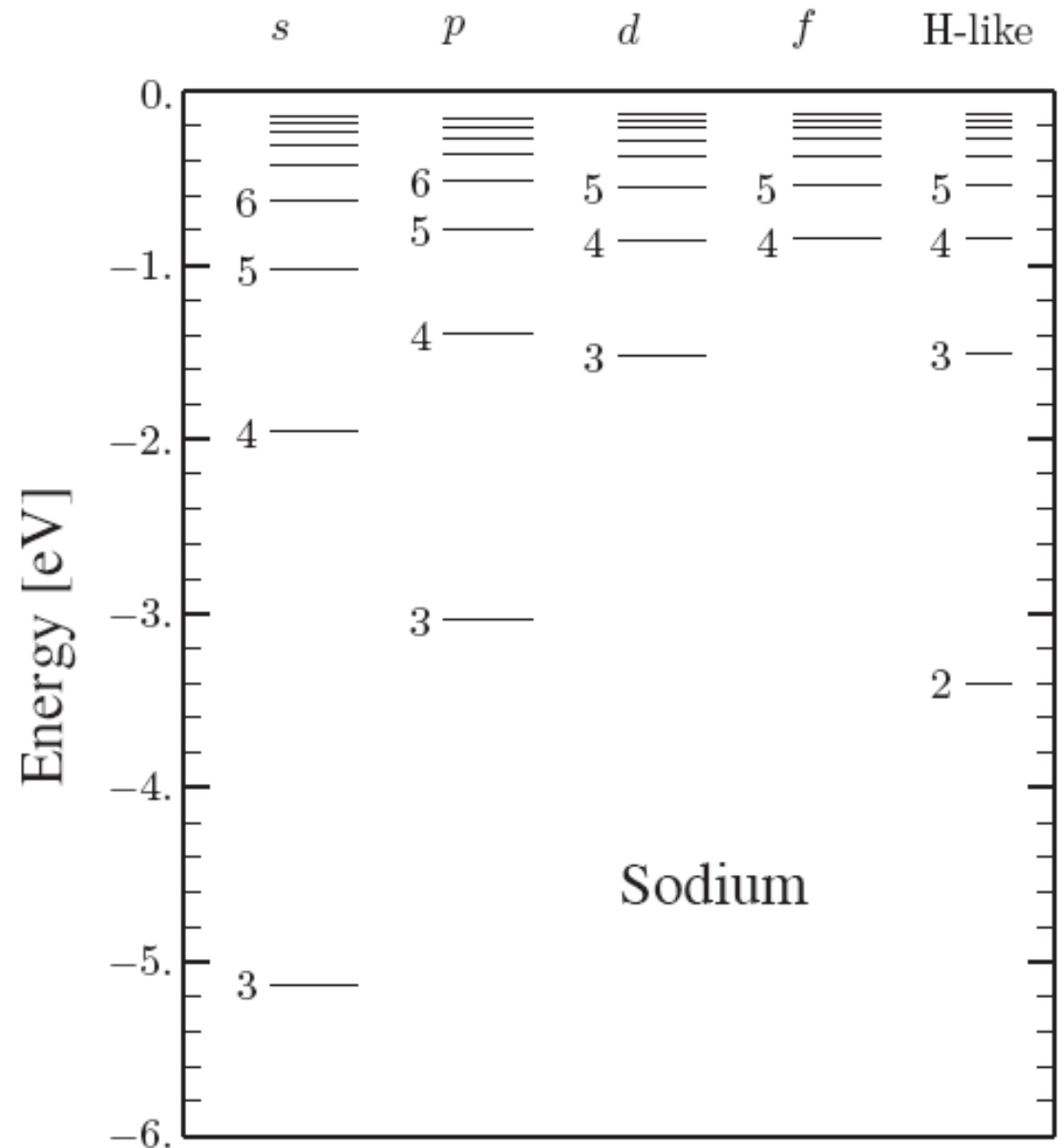
- Use schematic potential

$$H_0 |nlm_\ell m_s\rangle = \varepsilon_{nl} |nlm_\ell m_s\rangle$$

- Ground state: fill lowest orbits according to Pauli

$$|300m_s, 211\frac{1}{2}, 211 - \frac{1}{2}, \dots, 100\frac{1}{2}, 100 - \frac{1}{2}\rangle \equiv |\Phi_0(\text{Na})\rangle$$

- Excited states?



Closed-shell atoms

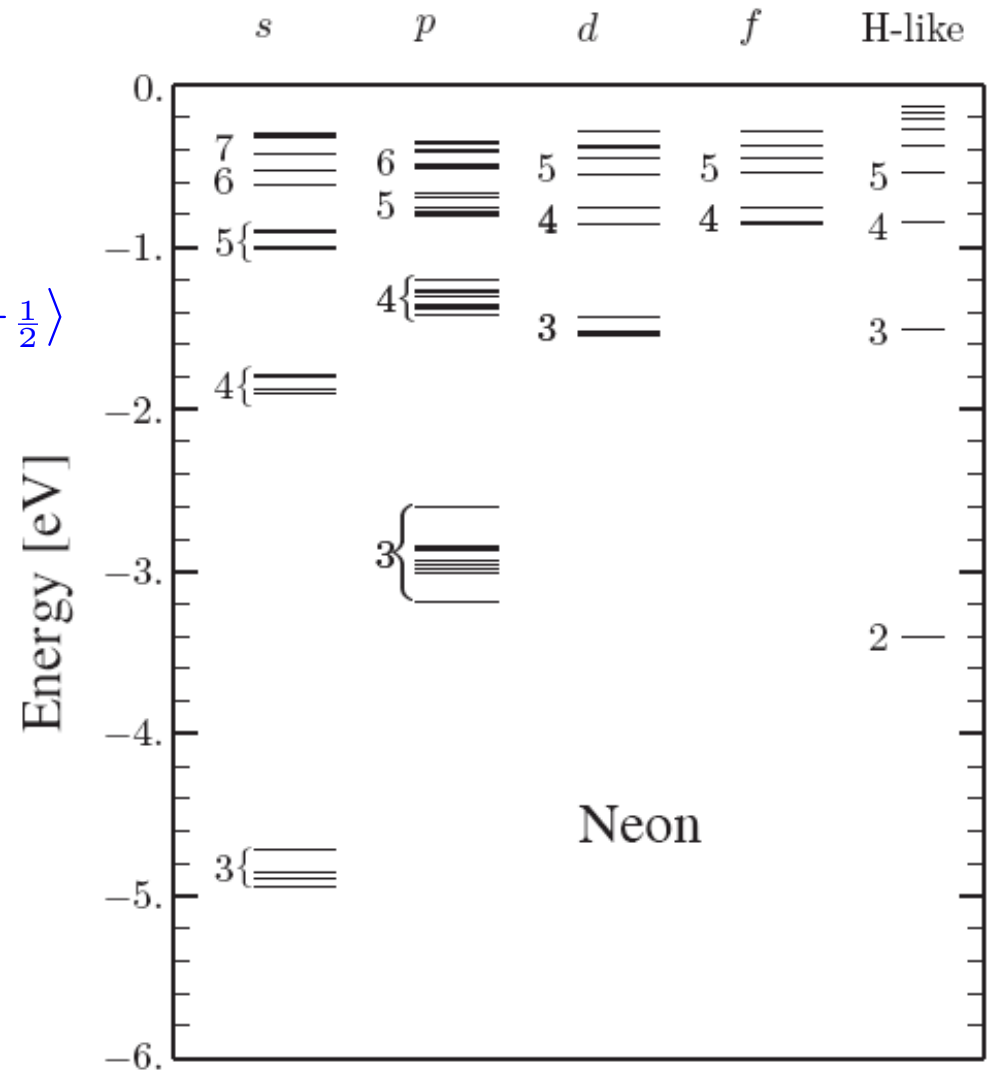
- Neon
- Ground state

$$|\Phi_0(\text{Ne})\rangle = |211_{\frac{1}{2}}, 211_{-\frac{1}{2}}, \dots, 100_{\frac{1}{2}}, 100_{-\frac{1}{2}}\rangle$$

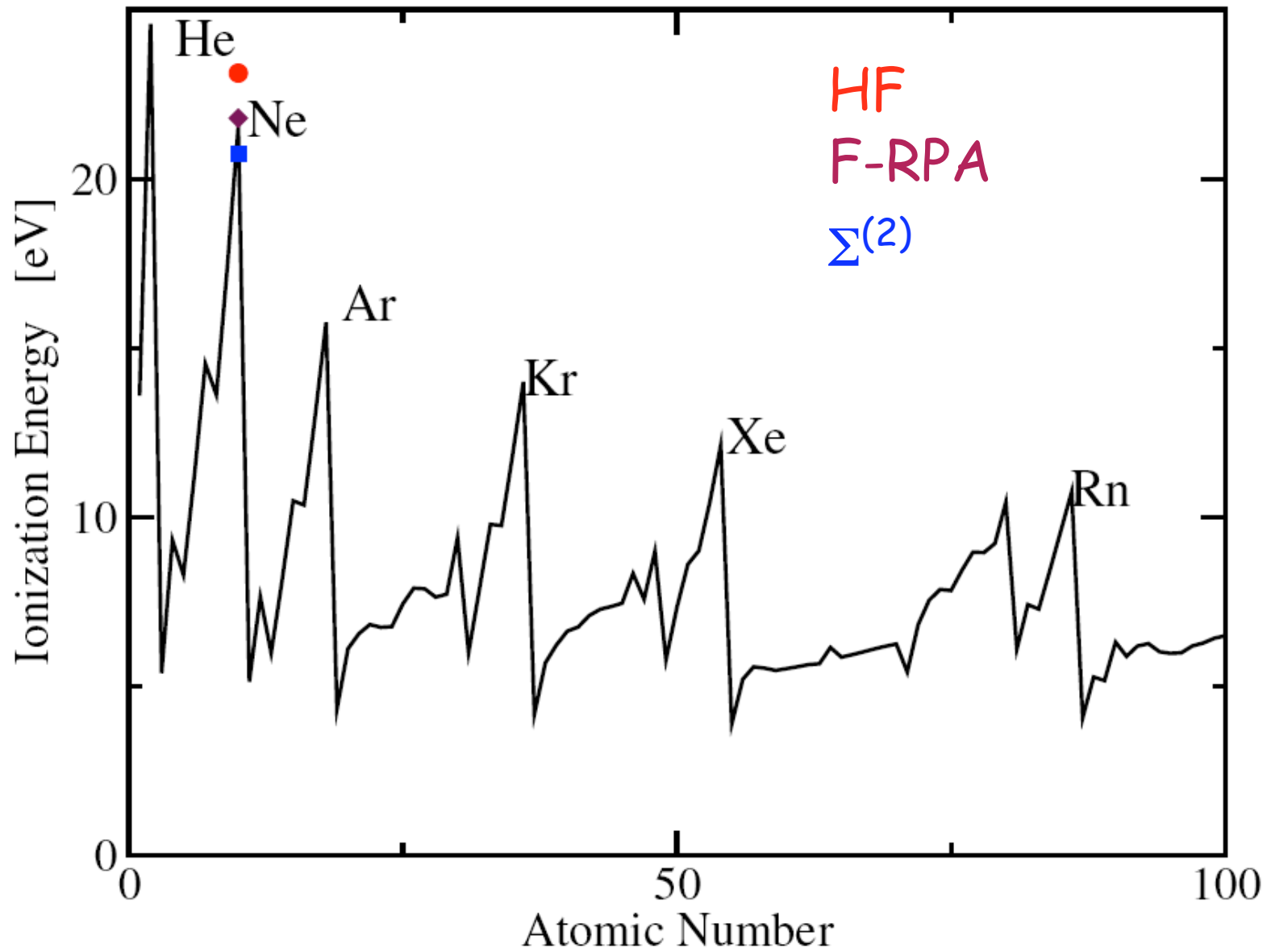
- Excited states

$$|n\ell (2p)^{-1}\rangle = a_{n\ell}^\dagger a_{2p} |\Phi_0(\text{Ne})\rangle$$

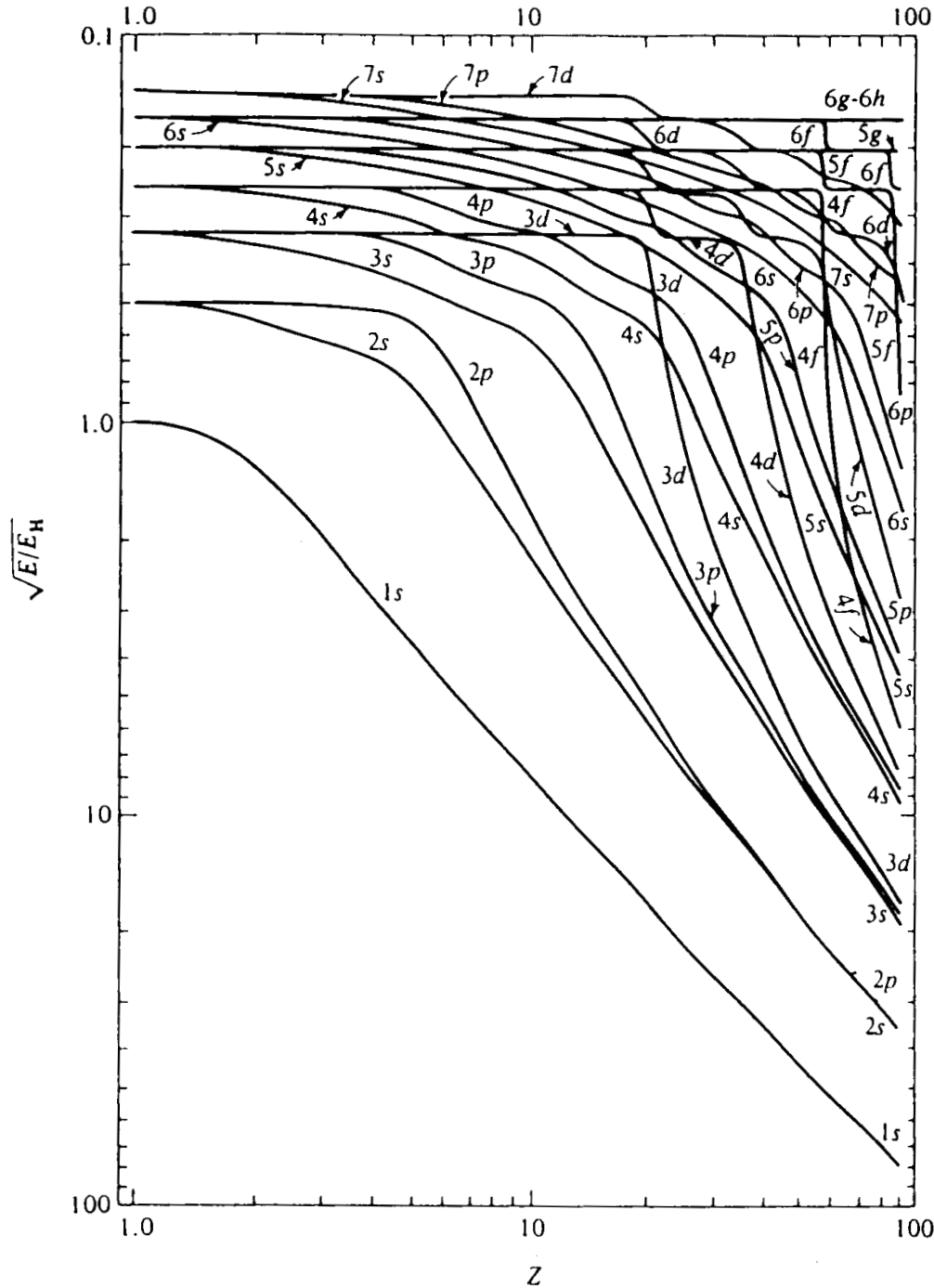
- operators: see later
- Note the H-like states
- Splitting?
- Basic shell structure of atoms understood \Rightarrow IPM



Periodic table



Level sequence (approximately)



Hydrogen again

- Relevant references for factorization technique

- Am J Phys 55, 913 (1987)

- Am J Phys 46, 658 (1978)

- Factorization with the aim to go to momentum space!

- Consider $p = \sqrt{\mathbf{p} \cdot \mathbf{p}}$ before $r = \sqrt{\mathbf{r} \cdot \mathbf{r}}$

$$r_p = \frac{1}{2} \left(\frac{1}{p} \mathbf{p} \cdot \mathbf{r} + \mathbf{r} \cdot \mathbf{p} \frac{1}{p} \right) \quad p_r = \frac{1}{2} \left(\frac{1}{r} \mathbf{r} \cdot \mathbf{p} + \mathbf{p} \cdot \mathbf{r} \frac{1}{r} \right)$$

- Hamiltonian $H = \frac{\mathbf{p}^2}{2m} - \frac{\hbar^2}{ma_0} \frac{1}{r}$

- It is also possible to write

$$\ell^2 = \mathbf{p}^2 (r^2 - r_p^2) \quad \text{before} \quad \ell^2 = r^2 (\mathbf{p}^2 - p_r^2)$$

Detour (artificial)

- Define "funny" operator

$$\Lambda = r^2 (\mathbf{p}^2 - 2mE)^2 - 2i\hbar \mathbf{p} \cdot \mathbf{r} (\mathbf{p}^2 - 2mE) + 4\hbar^2 (\mathbf{p}^2 - 2mE)$$

- When acting on eigenstate of Hamiltonian $H |E\ell m\rangle = E |E\ell m\rangle$
same effect as applying the operator $r^2 (\mathbf{p}^2 - 2mH)^2$

- Proof requires to show that

$$r^2 [H, \mathbf{p}^2] = \frac{2i\hbar^3}{ma_0} (\mathbf{p} \cdot \mathbf{r} + 2i\hbar) \frac{1}{r}$$

- Then it follows immediately that $\Lambda |E\ell m\rangle = \frac{4\hbar^4}{a_0^2} |E\ell m\rangle$
- Goal is now to factorize the "funny" operator

Development

- Works by defining

$$P_\ell^\pm = r_p (\mathbf{p}^2 - 2mE) \pm i\hbar \frac{\ell + \frac{1}{2} \pm \frac{1}{2}}{p} (\mathbf{p}^2 + 2mE)$$

- Use $\ell^2 = \mathbf{p}^2 (r^2 - r_p^2)$ to replace r^2 in Λ and use $\mathbf{p} \cdot \mathbf{r} = r_p p - 2i\hbar$
- Inserting and replacing the square of the orbital angular momentum by its eigenvalue, one finds

$$\Lambda_\ell = r_p^2 (\mathbf{p}^2 - 2mE)^2 + \frac{\hbar^2 \ell(\ell + 1)}{p^2} (\mathbf{p}^2 - 2mE)^2 - 2i\hbar r_p p (\mathbf{p}^2 - 2mE)$$

- Check that $\Lambda_\ell = P_{\ell\pm 1}^\mp P_\ell^\pm - 4\hbar^2 \left(\ell + \frac{1}{2} \pm \frac{1}{2} \right)^2 2mE$

- Note $\Lambda_\ell |E\ell\rangle = \frac{4\hbar^4}{a_0^2} |E\ell\rangle$

- As before $\Lambda_{\ell\pm 1} (P_\ell^\pm |E\ell\rangle) = \frac{4\hbar^4}{a_0^2} (P_\ell^\pm |E\ell\rangle)$ implies that the energy doesn't change

$$P_\ell^\pm |E\ell\rangle = p_{E\ell}^\pm |E\ell \pm 1\rangle$$

More development

- Normalization

$$|p_{E\ell}^{\pm}|^2 = \frac{4\hbar^4}{a_0^2} \left[1 + \left(\ell + \frac{1}{2} \pm \frac{1}{2} \right)^2 \frac{2ma_0^2}{\hbar^2} E \right]$$

- For bound states factor must break off for

$$1 + (\ell_{max} + 1)^2 \frac{2ma_0^2}{\hbar^2} E = 0$$

- With the usual solutions $E_n = -\frac{\hbar^2}{2ma_0^2} \frac{1}{n^2}$ with $n = \ell_{max} + 1$

- Go to momentum representation with $r_p = i\hbar \left(\frac{\partial}{\partial p} + \frac{1}{p} \right)$

- apply to $P_{\ell}^{\pm} |E\ell\rangle = p_{E\ell}^{\pm} |E\ell \pm 1\rangle$

$$\langle p | r_p (p^2 - 2mE) \pm i\hbar \frac{\ell + \frac{1}{2} \pm \frac{1}{2}}{p} (p^2 + 2mE) |n\ell\rangle = \frac{2\hbar^2}{a_0} i \left[1 - \frac{(\ell + \frac{1}{2} \pm \frac{1}{2})^2}{n^2} \right]^{1/2} \langle p | n\ell \pm 1 \rangle$$

- insert E and note phase choice!

Differential equation in momentum space

- Final result

$$\left(p^2 + \frac{\hbar^2}{a_0^2 n^2}\right) \frac{d}{dp} \langle p | n \ell \rangle + \left\{ \left[\pm \left(\ell + \frac{1}{2} \pm \frac{1}{2} \right) + 3 \right] p + \frac{\hbar^2}{a_0^2 n^2} \frac{1}{p} \left[1 \mp \left(\ell + \frac{1}{2} \pm \frac{1}{2} \right) \right] \right\} \langle p | n \ell \rangle = \frac{2\hbar}{a_0} \left[1 - \frac{\left(\ell + \frac{1}{2} \pm \frac{1}{2} \right)^2}{n^2} \right]^{1/2} \langle p | n \ell \pm 1 \rangle$$

- For $\ell = \ell_{max}$ use upper result (rhs $\rightarrow 0$)

$$\left[\left(p^2 + \frac{\hbar^2}{a_0^2 n^2}\right) \frac{d}{dp} + (n+3)p + \frac{\hbar^2}{a_0^2 n^2} \frac{1}{p} (1-n) \right] \langle p | n \ell = n-1 \rangle = 0$$

- Solution

$$\langle p | n \ell = n-1 \rangle = \phi_{n \ell = n-1}(p) = N \frac{p^{n-1}}{\left(p^2 + \frac{\hbar^2}{a_0^2 n^2}\right)^{n+1}}$$

Ground state

- Normalization

$$|N|^2 = \frac{2^{4n+2}(n!)^2}{\pi(2n)!} \left(\frac{\hbar}{a_0 n} \right)^{2n+3}$$

- Other wave functions: use lowering operator
- Ground state wave function

$$\phi_{10} = 4 \sqrt{\frac{2}{\pi}} \left(\frac{\hbar}{a_0} \right)^{5/2} \frac{1}{\left(p^2 + \frac{\hbar^2}{a_0^2} \right)^2}$$

Direct knockout reactions

- Atoms: $(e,2e)$ reaction
- Nuclei: $(e,e'p)$ reaction [and others like $(p,2p)$, $(d,^3\text{He})$, (p,d) , etc.]
- Physics: transfer large amount of momentum and energy to a bound particle; detect ejected particle together with scattered projectile \rightarrow construct spectral function
- Impulse approximation: struck particle is ejected
- Other assumption: final state \sim plane wave on top of $N-1$ particle eigenstate (more serious in practical experiments) but good approximation if ejectile momentum large enough
- If relative momentum large enough, final state interaction can be neglected as well
- \rightarrow PWIA = plane wave impulse approximation
- Cross section proportional to spectral function

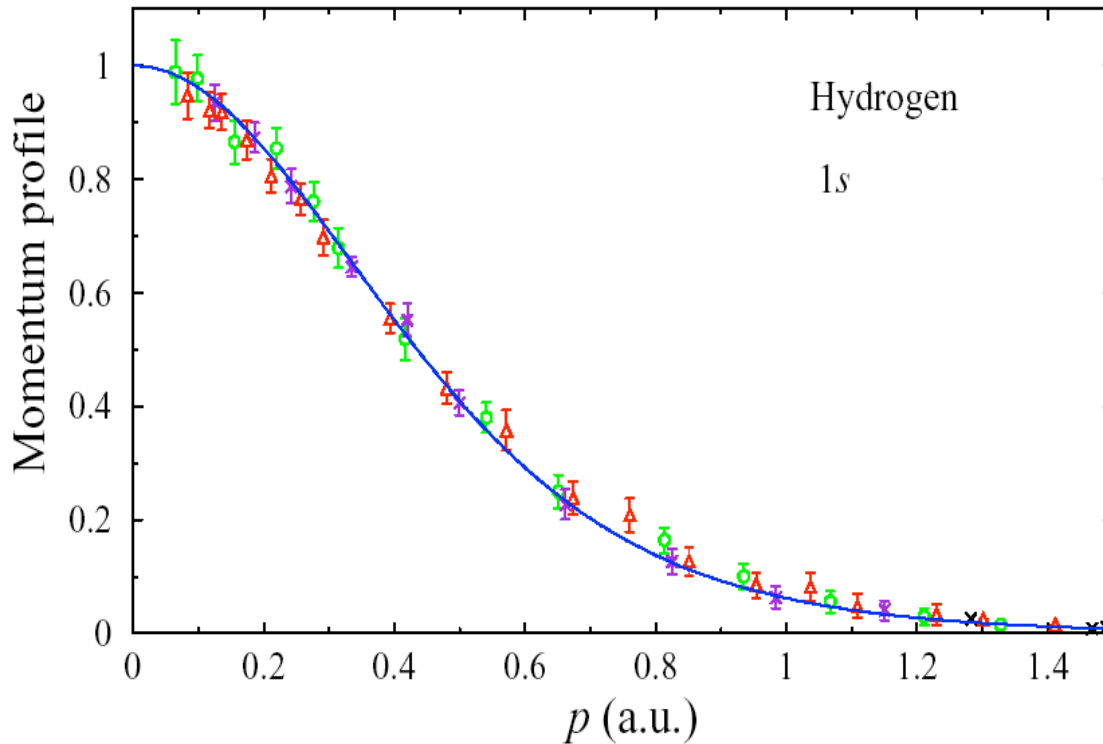
(e,2e) data for atoms

- Start with Hydrogen

- Ground state wave function $\phi_{1s}(\mathbf{p}) = \frac{2^{3/2}}{\pi} \frac{1}{(1+p^2)^2}$

- (e,2e) removal amplitude

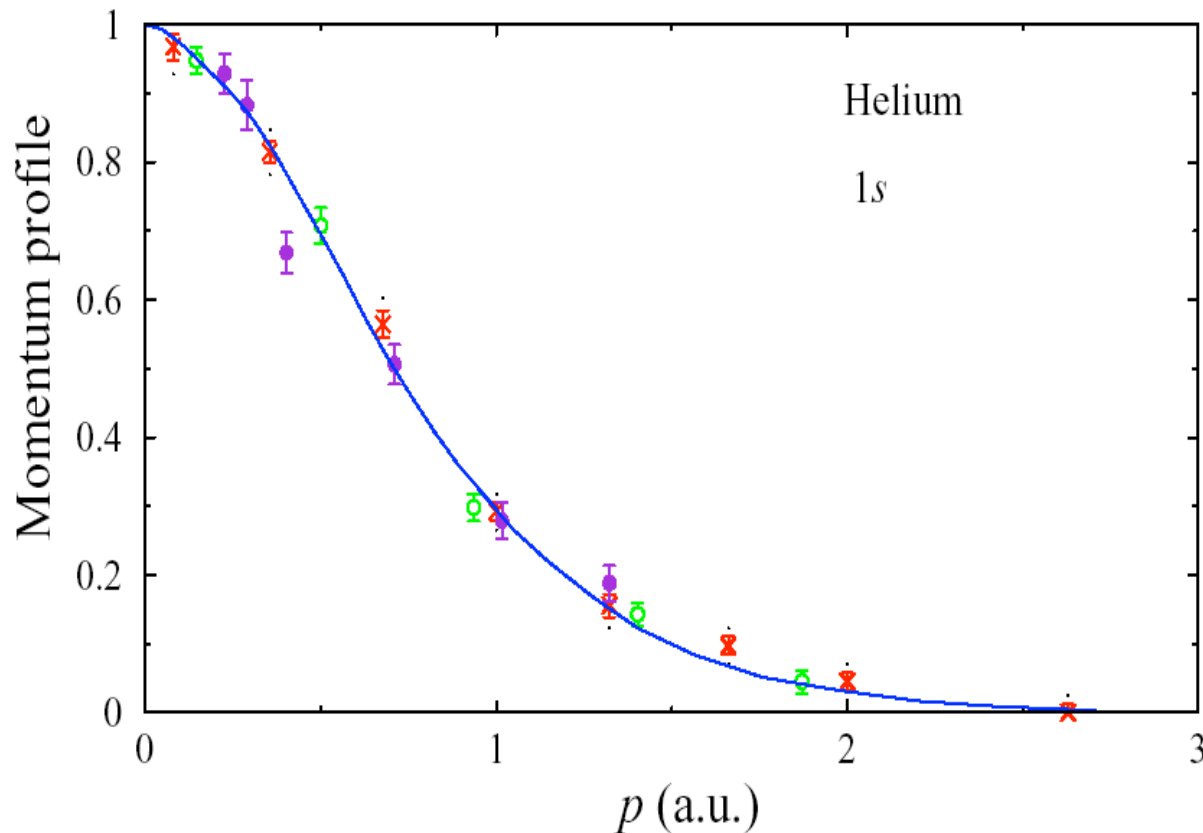
$$\langle 0 | a_{\mathbf{p}} | n = 1, \ell = 0 \rangle = \langle \mathbf{p} | n = 1, \ell = 0 \rangle = \phi_{1s}(\mathbf{p})$$



Hydrogen 1s wave function
"seen" experimentally
Phys. Lett. 86A, 139 (1981)

Helium

- IPM description is very successful
- Closed-shell configuration $1s^2$
- Reaction more complicated than for Hydrogen
- DWIA (distorted wave impulse approximation)



$$S = \int dp \left| \langle \Psi_n^{N-1} | a_p | \Psi_0^N \rangle \right|^2$$

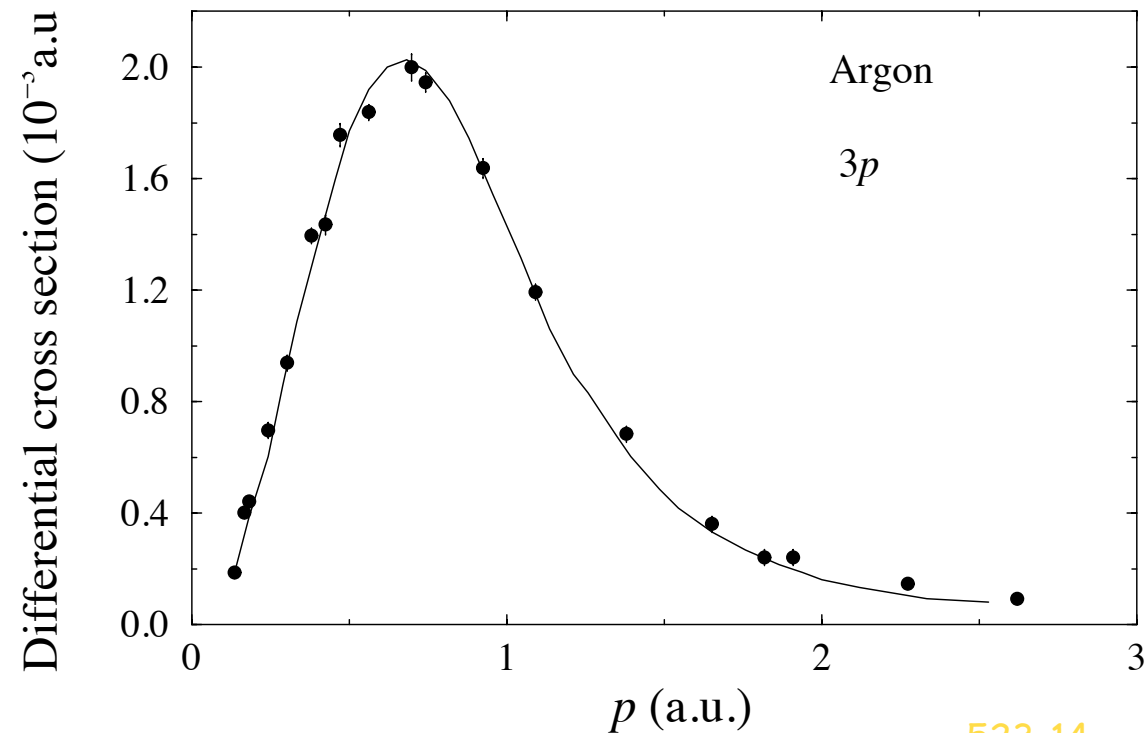
agreement with IPM!

→ 1

Phys. Rev. A8, 2494 (1973)

Other closed-shell atoms

- Spectroscopic factor becomes less than 1
- Neon $2p$ removal: $S = 0.92$ with two fragments each 0.04
- IPM not the whole story: fragmentation of sp strength
- Summed strength: like IPM
- IPM wave functions still excellent
- Example: Argon $3p$ $S = 0.95$
- Rest in 3 small fragments



Fragmentation in atoms

- ~All the strength remains below (above) the Fermi energy in closed-shell atoms
- Fragmentation can be interpreted in terms of mixing between

$$a_\alpha |\Phi_0^N\rangle$$

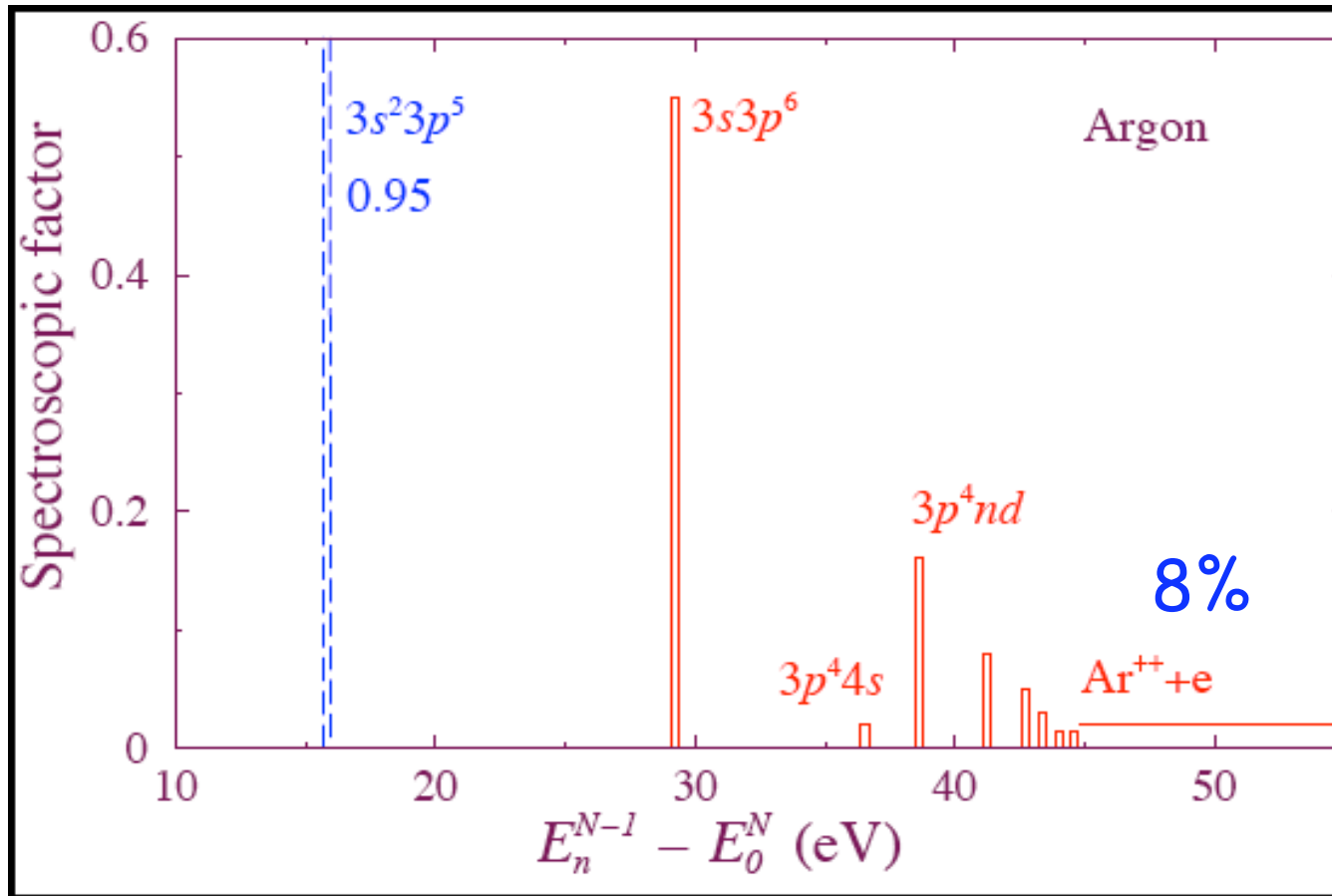
- and

$$a_\beta a_\gamma a_\delta^\dagger |\Phi_0^N\rangle$$

- with the same "global" quantum numbers
- Example: Argon ground state $|\Phi_0^N\rangle = |(3s)^2(3p)^6(2s)^2(2p)^6(1s)^2\rangle$
- Ar⁺ ground state $|(3p)^{-1}\rangle = a_{3p} |\Phi_0^N\rangle = |(3s)^2(3p)^5(2s)^2(2p)^6(1s)^2\rangle$
- excited state $|(3s)^{-1}\rangle = a_{3s} |\Phi_0^N\rangle = |(3s)^1(3p)^6(2s)^2(2p)^6(1s)^2\rangle$
- also $|(3p)^{-2}4s\rangle = a_{3p}a_{3p}a_{4s}^\dagger |\Phi_0^N\rangle = |(4s)^1(3s)^2(3p)^4(2s)^2(2p)^6(1s)^2\rangle$
- and $|(3p)^{-2}nd\rangle = a_{3p}a_{3p}a_{nd}^\dagger |\Phi_0^N\rangle = |(nd)^1(3s)^2(3p)^4(2s)^2(2p)^6(1s)^2\rangle$

Argon spectroscopic factors

- s strength also in the continuum: $\text{Ar}^{++} + e$
- note vertical scale
- red bars: 3s fragments exhibit substantial fragmentation



$(e,e'p)$ data for nuclei

- Requires DWIA
- Distorted waves required to describe elastic proton scattering at the energy of the ejected proton
- Consistent description requires that cross section at different energy for the outgoing proton is changed accordingly
- Requires substantial beam energy and momentum transfer
- Initiated at Saclay and perfected at NIKHEF, Amsterdam
- Also done at Mainz and currently at Jefferson Lab, VA
- Momentum dependence of cross section dominated by the corresponding sp wave function of the nucleon before it is removed

Inclusion of SO potential and magic numbers

- Sign of SO?

$$V_{ls} \left(\frac{\ell \cdot s}{\hbar^2} \right) r_0^2 \frac{1}{r} \frac{d}{dr} f(r)$$

$$V_{ls} = -0.44V$$

- Consequence for

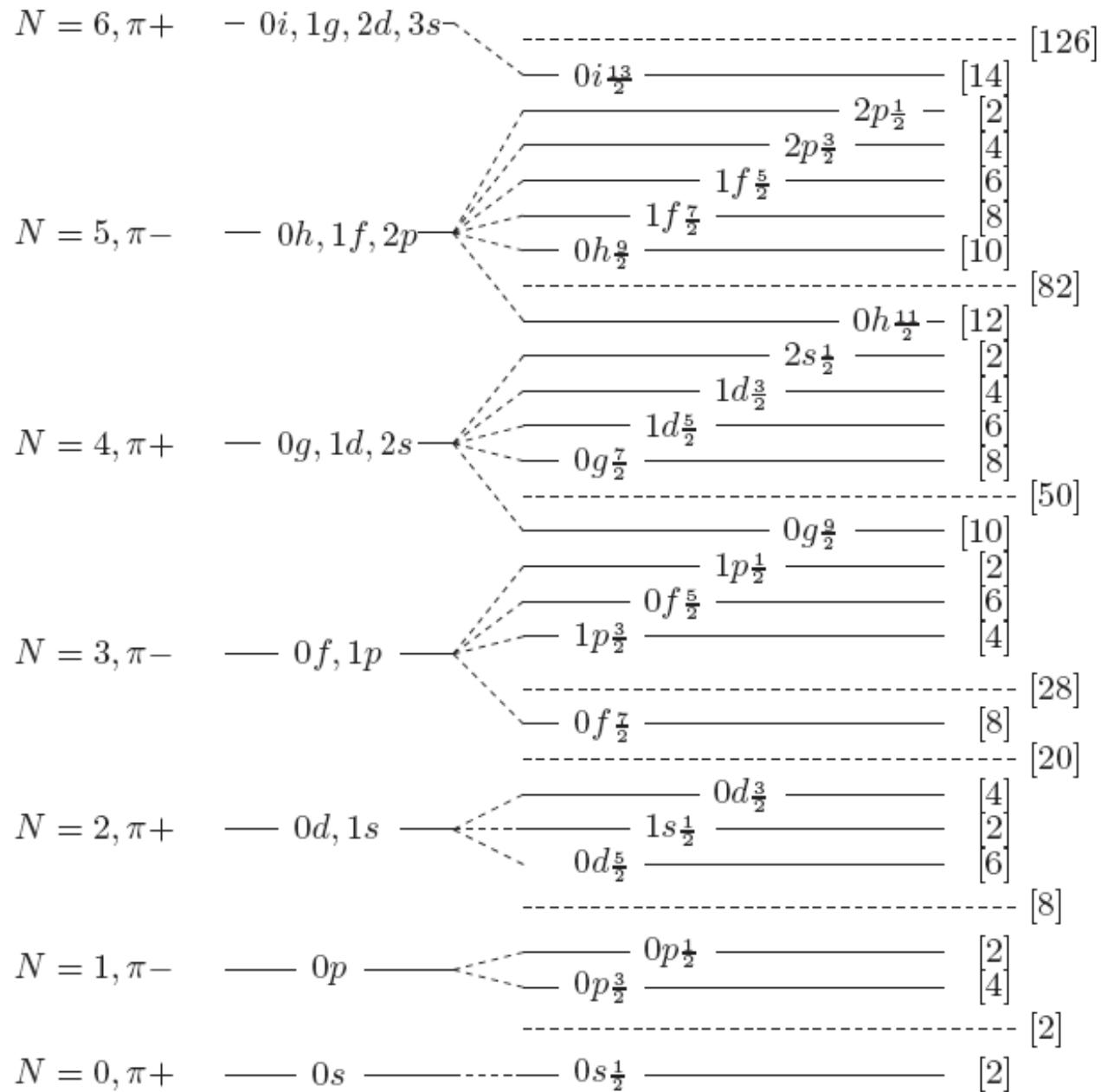
$$0f \frac{7}{2}$$

$$0g \frac{9}{2}$$

$$0h \frac{11}{2}$$

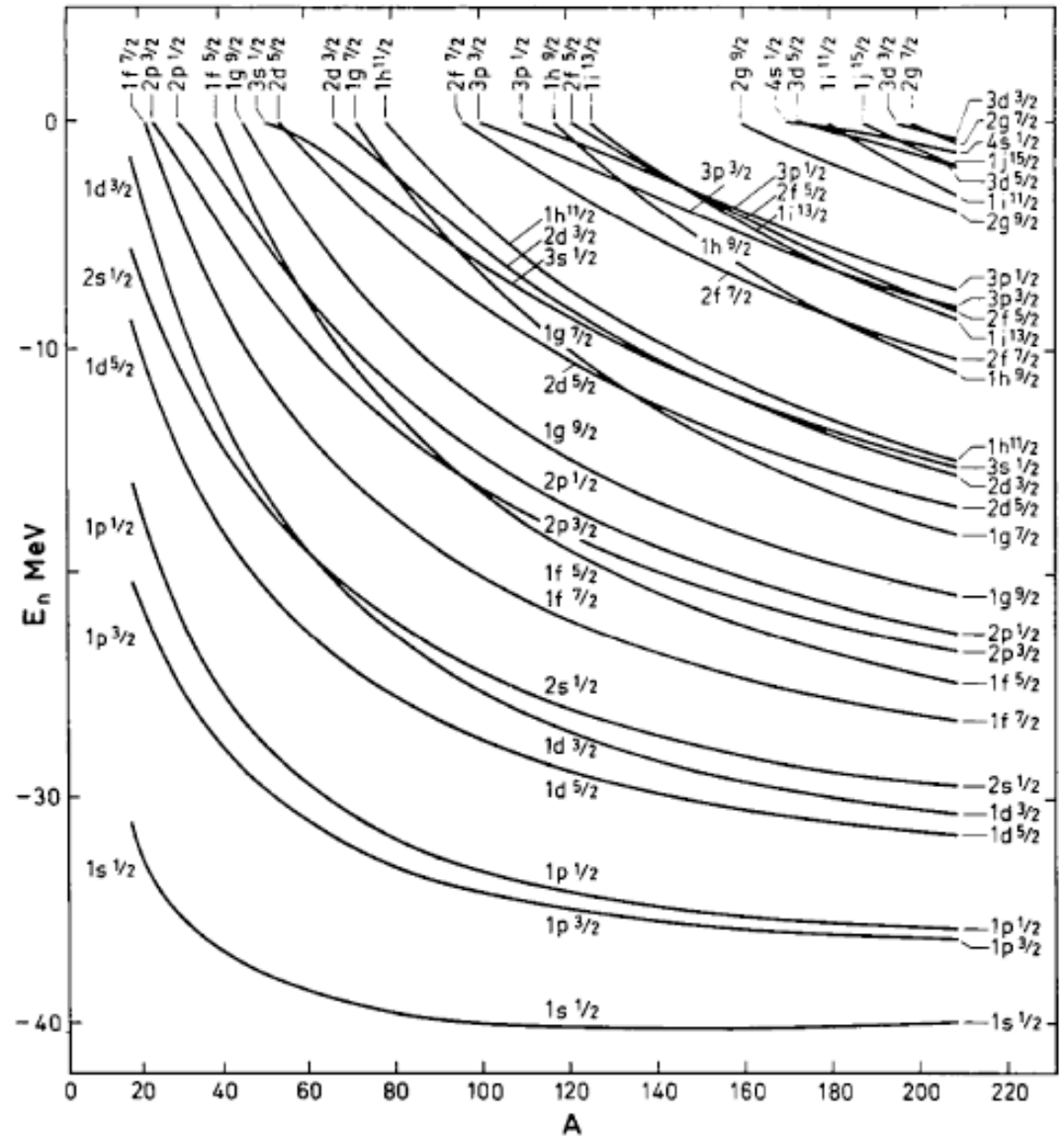
$$0i \frac{13}{2}$$

- Noticeably shifted
- Correct magic numbers!



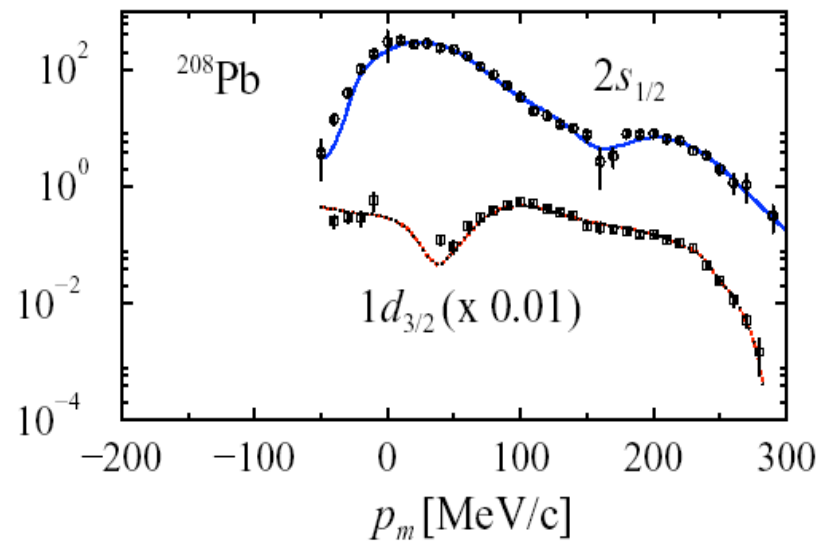
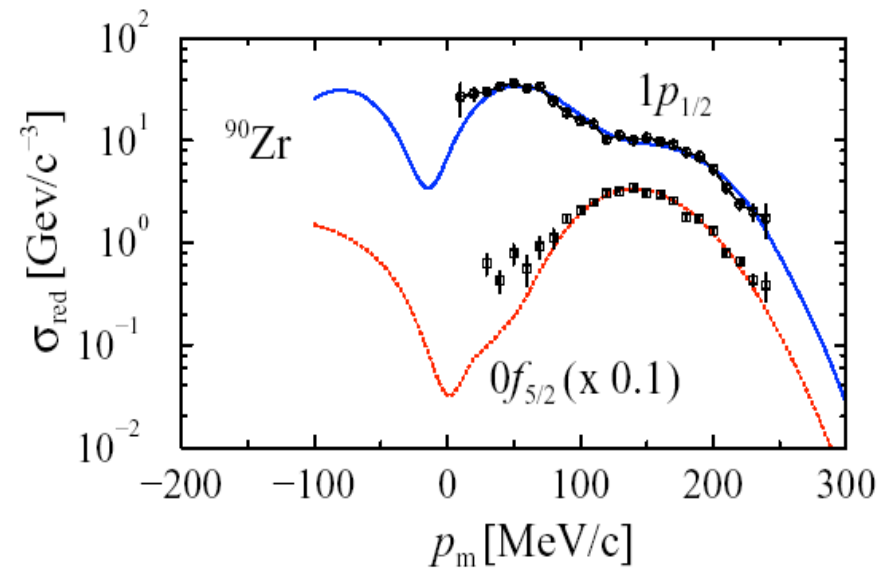
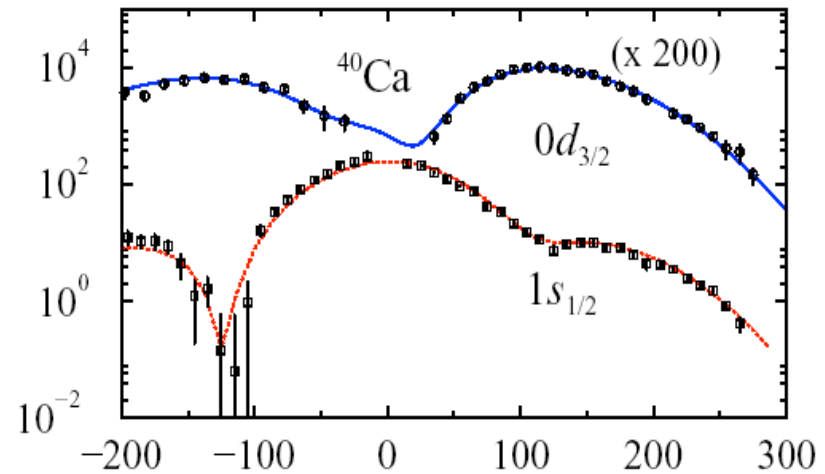
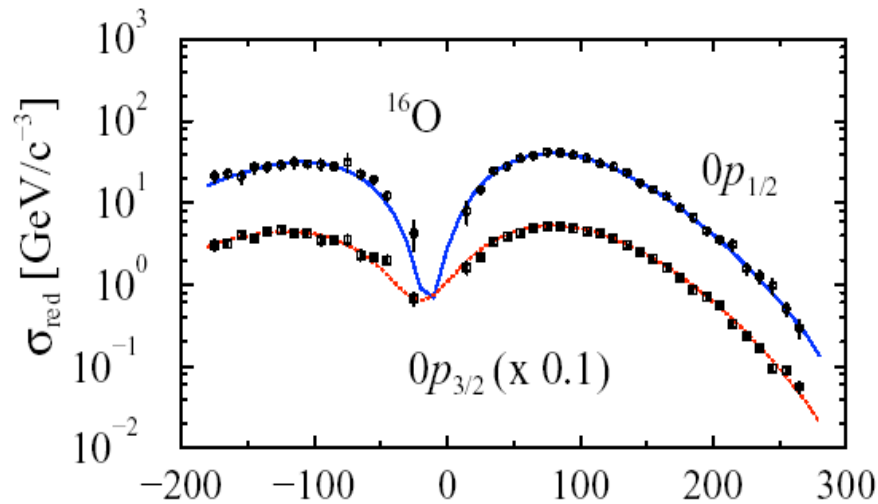
Neutron levels as a function of A

- Phenomenological!
- Calculated from Woods-Saxon plus spin-orbit



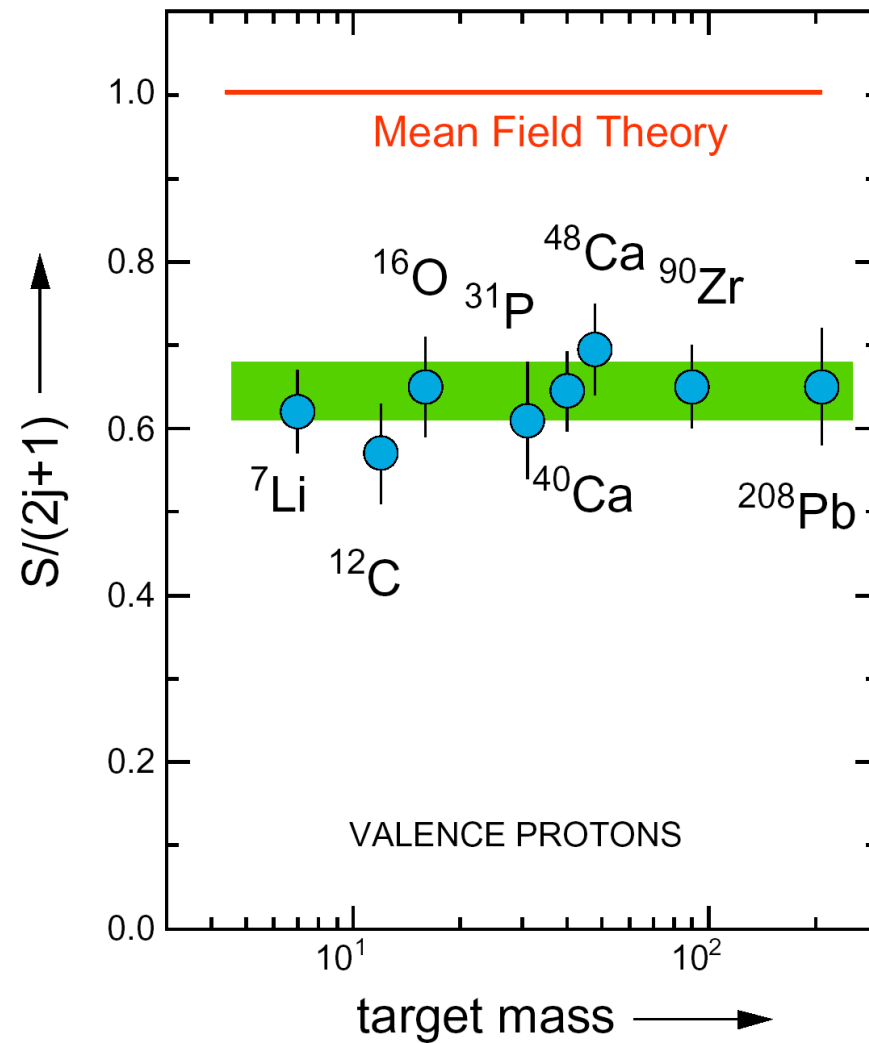
Momentum profiles for nucleon removal

- Closed-shell nuclei
- NIKHEF data, L. Lapikás, Nucl. Phys. A553, 297c (1993)



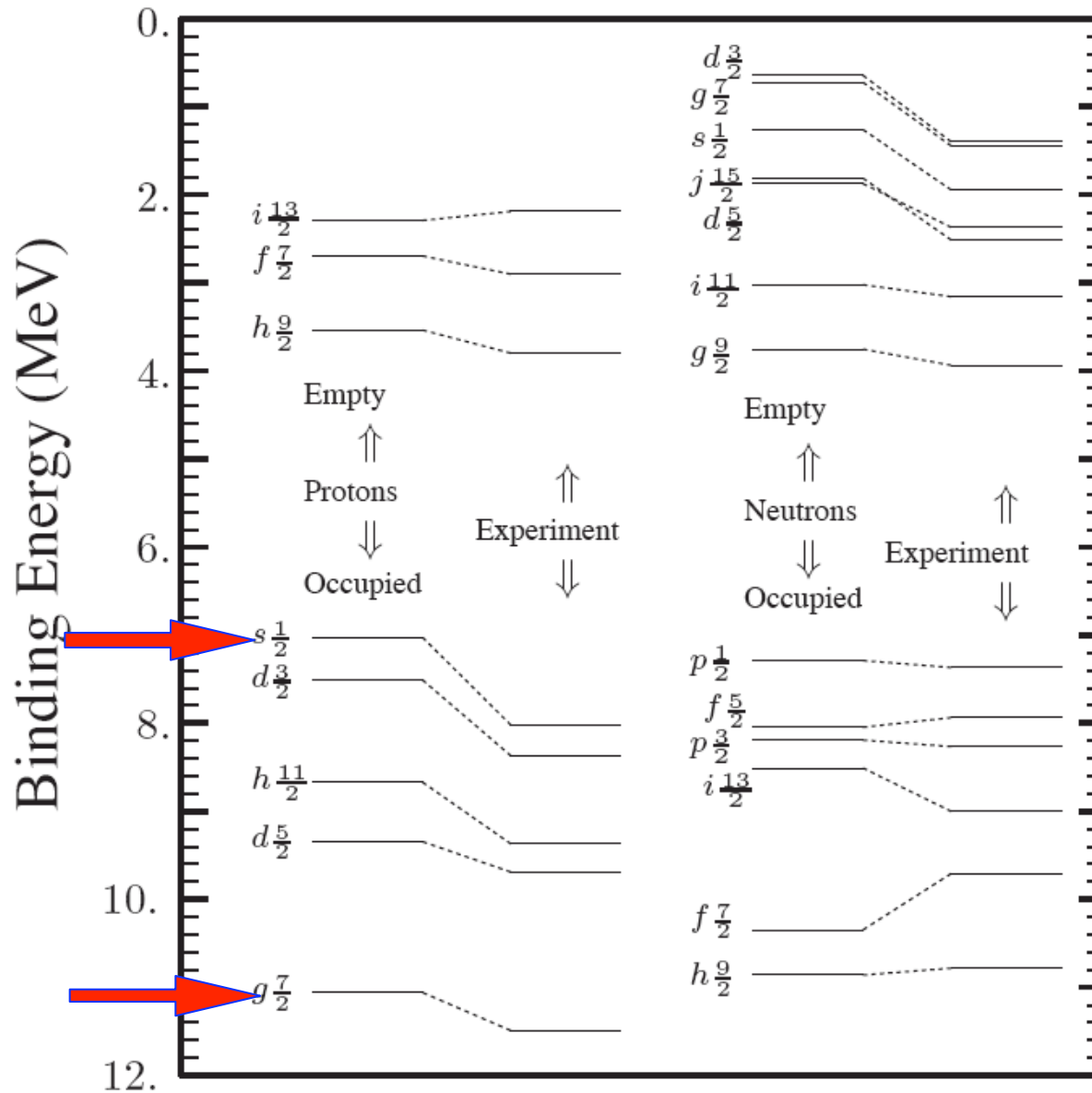
But...

- Spectroscopic factors substantially smaller than simple IPM



Remember

- ^{208}Pb sp levels



Of

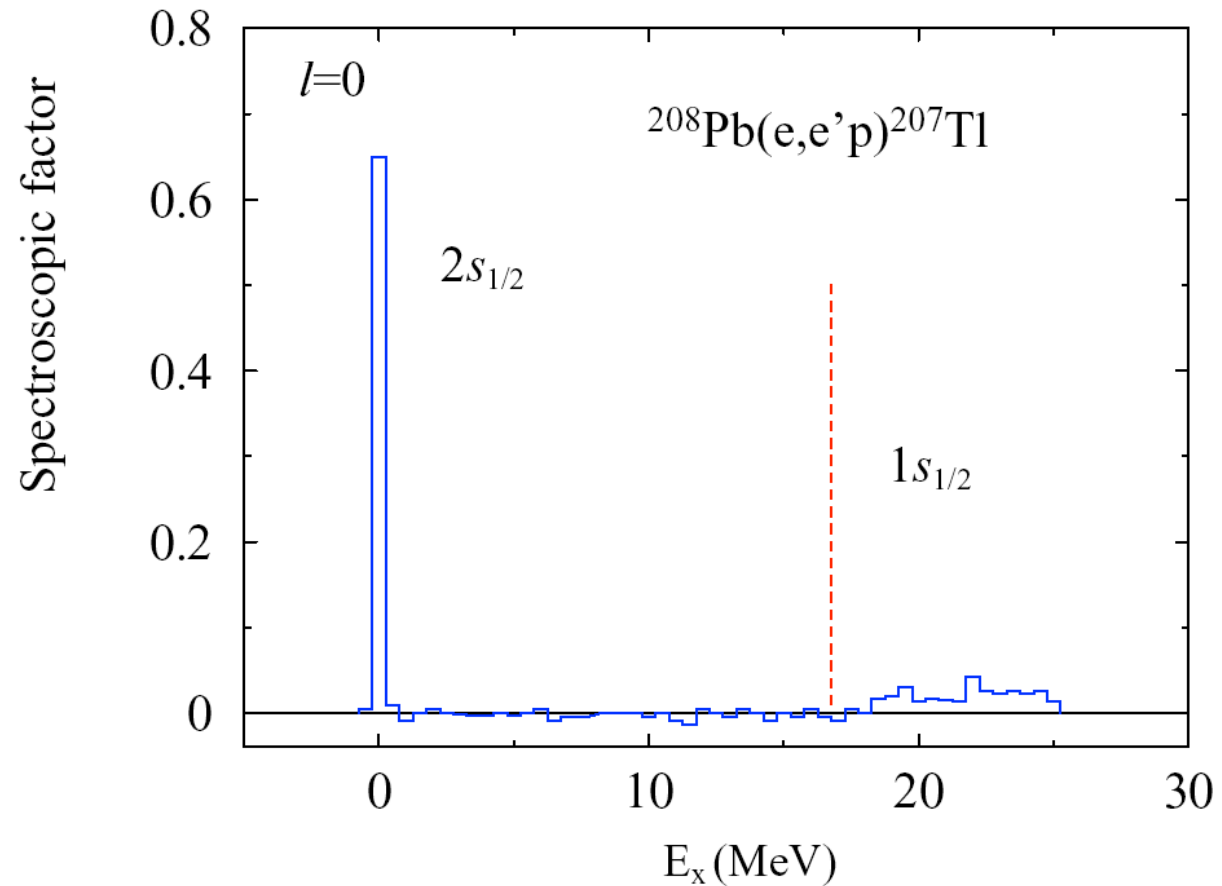
Fragmentation patterns

- $^{208}\text{Pb}(e,e'p)$ NIKHEF data: Quint thesis

- $S(2s_{1/2})=0.65$

- other data:

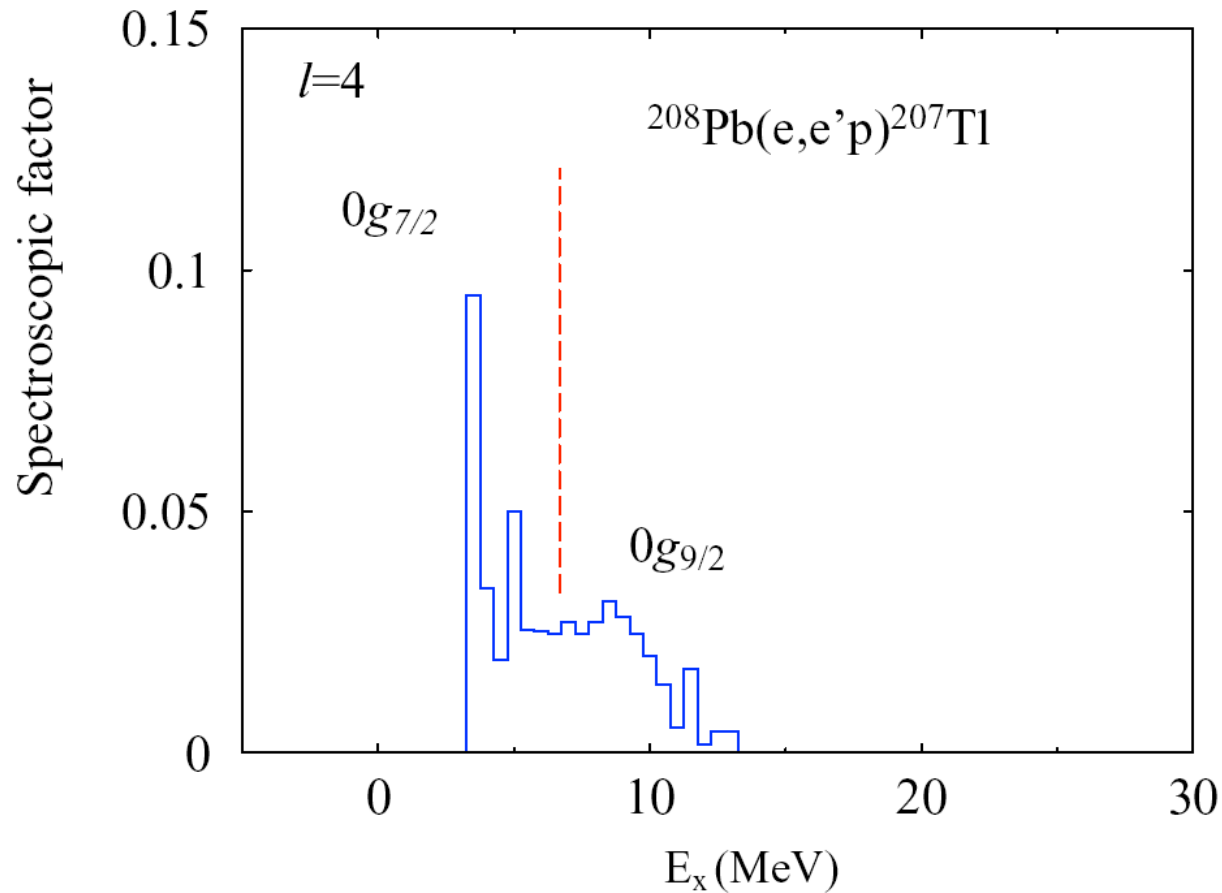
- $n(2s_{1/2})=0.75$



- very different from atoms

Fragmentation patterns

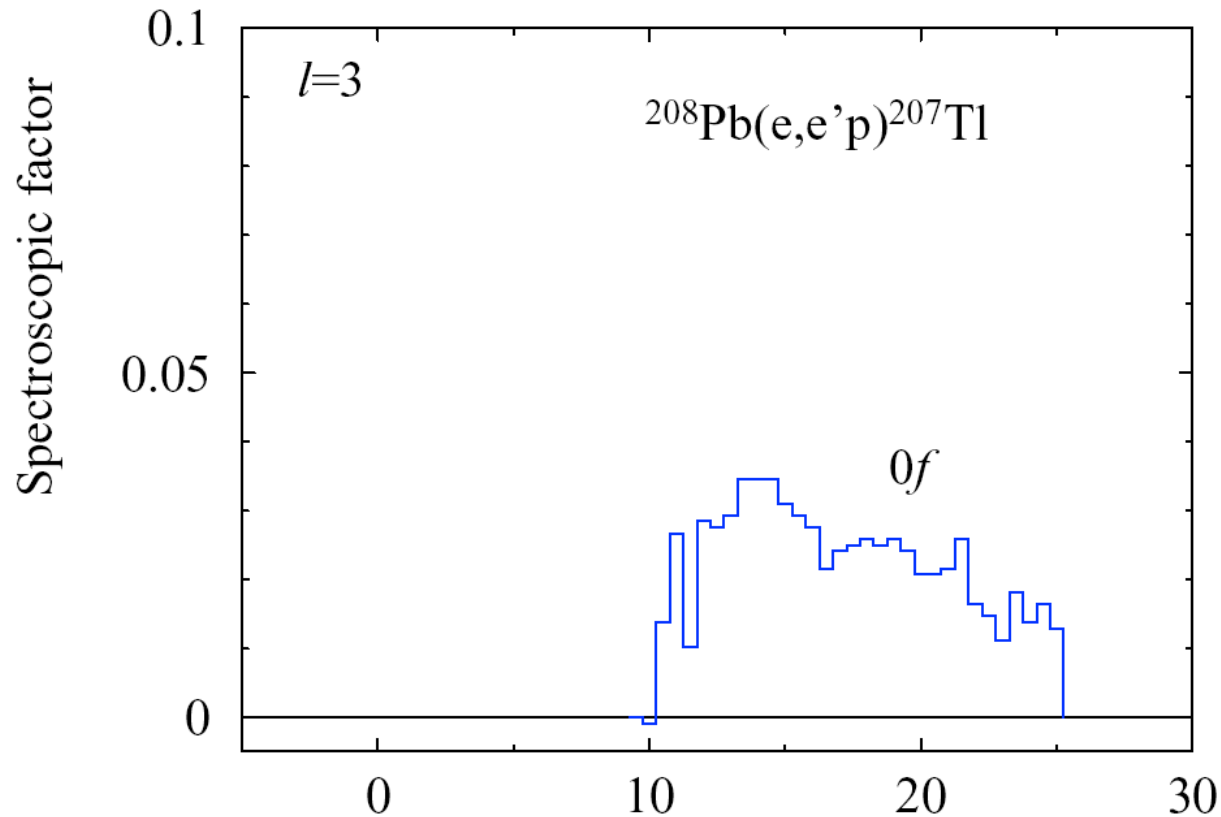
- $^{208}\text{Pb}(e,e'p)$ NIKHEF data: Quint thesis



- start of strong fragmentation
- also very different from atoms

Fragmentation patterns

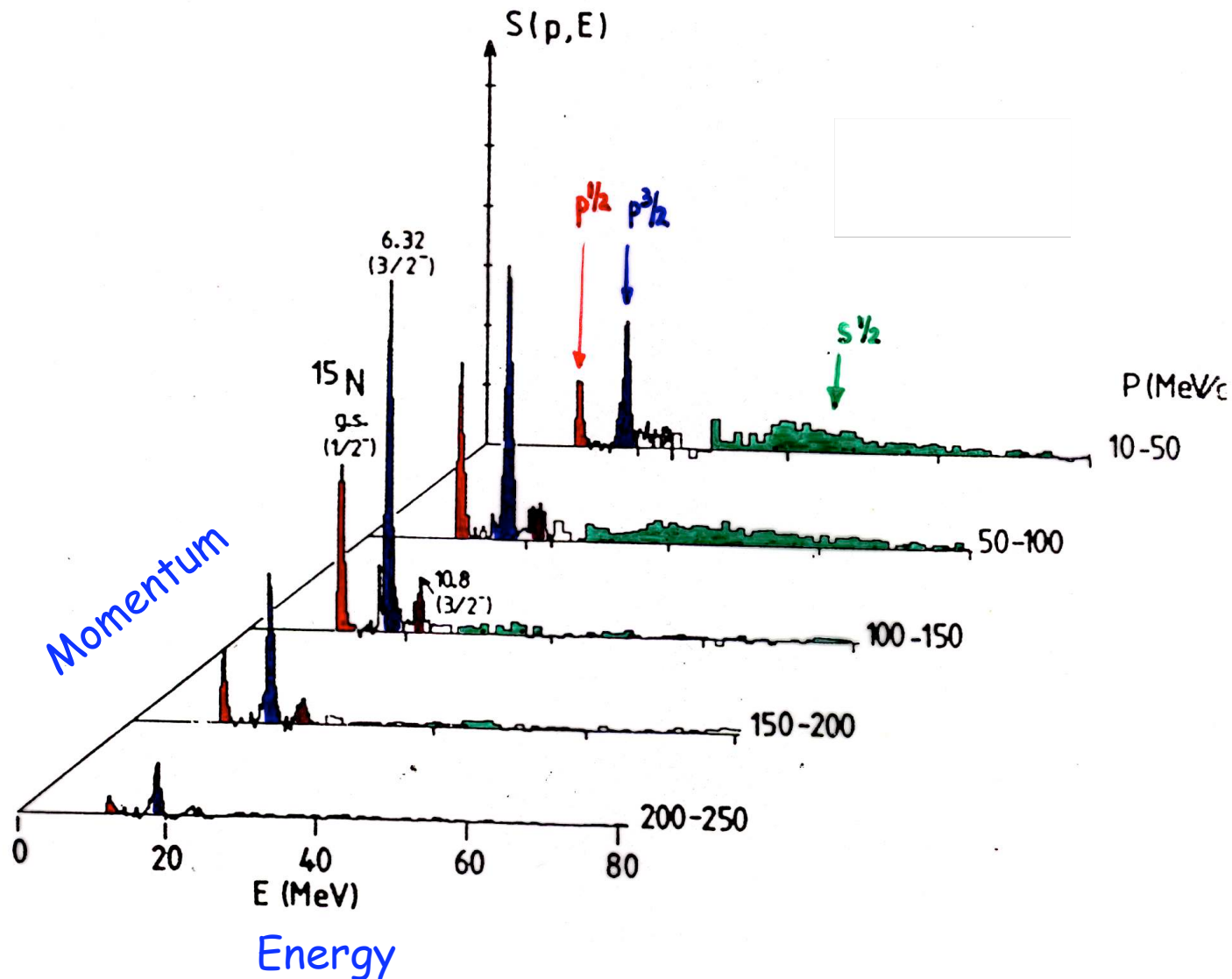
- $^{208}\text{Pb}(e,e'p)$ NIKHEF data: Quint thesis



- deeply bound states: strong fragmentation
- again different from atoms

^{16}O data from Saclay

- Simple interpretation!
- Mougey et al., Nucl. Phys. A335, 35 (1980)



Recent Pb experiment

- 100 MeV missing energy
- 270 MeV/c missing momentum
- complete IPM domain

